(19) World Intellectual Property Organization International Bureau



1 COLID BULLOU H COLID BUILD HIL SH IN BUILD HILD SIND HILD BUILD HILD BUILD HILD BUILD HILD HILD HILD HILD HILD

(43) International Publication Date 4 December 2003 (04.12.2003)

PCT

(10) International Publication Number WO 03/099164 A1

(51) International Patent Classification7:

. . .

(21) International Application Number: PCT/US03/16999

(22) International Filing Date: 28 May 2003 (28.05.2003)

(25) Filing Language:

English

A61F 2/04

(26) Publication Language:

English

(30) Priority Data: 60/384,247

28 May 2002 (28.05.2002) US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:

US Filed on 60/384,247 (CIP) 28 May 2002 (28.05.2002)

(71) Applicant (for all designated States except US): EM-PHASYS MEDICAL, INC. [US/US]; Suite A, 2686 Middlefield Road, Redwood City, CA 94063 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): FIELDS, Antony, J. [US/US]; 87 Vicksburg Street, San Francisco, CA 94114 (US). HENDRICKSEN, Michael [US/US]; 1733 Virginia Avenue, Redwood City, CA 94061 (US). HUNDERT-MARK, Ronald [US/US]; 422 Alder Lane, San Mateo, CA 94403 (US). SUTTON, Douglas [US/US]; 1595 Adobe Drive, Pacifica, CA 95044 (US). THOLFSEN, David [US/US]; 263 Crescent Avenue, San Francisco, CA 94110 (US).

(74) Agents: SEIDMAN, Stephanie, L. et al.; Heller Ehrman White & McAuliffe LLP, 7th Floor, 4350 La Jolla Village Drive, San Diego, CA 92122-1246 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

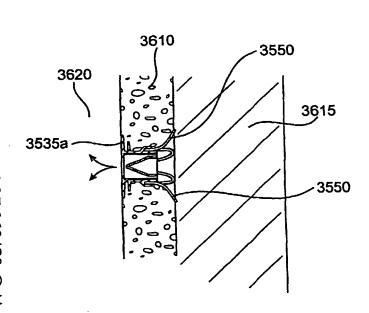
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

— as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN,

[Continued on next page]

(54) Title: IMPLANTABLE BRONCHIAL ISOLATION DEVICES AND LUNG TREATMENT METHODS



(57) Abstract: Disclosed are methods and devices for treating the lung. A guidewire exchange technique and device can be used to deliver a guidewire to a lung using a delivery device and a grasping tool that couples the guidewire to the delivery device. A bronchial isolation device can be deployed within a bronchial passageway and removed therefrom using the removal methods and devices described herein. A flow control device can be implanted into a channel in a wall of a bronchial passageway to modify the flow dynamic to and from a target lung region.

WO 03/099164 A1



TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

 before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

Published:

- with international search report

10

15

20

25

IMPLANTABLE BRONCHIAL ISOLATION DEVICES AND LUNG TREATMENT METHODS

TECHNICAL FIELD

This invention relates generally to methods and devices for use in performing pulmonary procedures and, more particularly, to procedures for treating lung diseases.

BACKGROUND ART

Certain pulmonary diseases, such as emphysema, reduce the ability of one or both lungs to fully expel air during the exhalation phase of the breathing cycle. Such diseases are accompanied by chronic or recurrent obstruction to air flow within the lung. One of the effects of such diseases is that the diseased lung tissue is less elastic than healthy lung tissue, which is one factor that prevents full exhalation of air. During breathing, the diseased portion of the lung does not fully recoil due to the diseased (e.g., emphysematic) lung tissue being less elastic than healthy tissue. Consequently, the diseased lung tissue exerts a relatively low driving force, which results in the diseased lung expelling less air volume than a healthy lung.

The problem is further compounded by the diseased, less elastic tissue that surrounds the very narrow airways that lead to the alveoli, which are the air sacs where oxygen-carbon dioxide exchange occurs. The diseased tissue has less tone than healthy tissue and is typically unable to maintain the narrow airways open until the end of the exhalation cycle. This traps air in the lungs and exacerbates the already-inefficient breathing cycle. The trapped air causes

10

15

20

25

the tissue to become hyper-expanded and no longer able to effect efficient oxygen-carbon dioxide exchange.

In addition, hyper-expanded, diseased lung tissue occupies more of the pleural space than healthy lung tissue. In most cases, a portion of the lung is diseased while the remaining part is relatively healthy and, therefore, still able to efficiently carry out oxygen exchange. By taking up more of the pleural space, the hyper-expanded lung tissue reduces the amount of space available to accommodate the healthy, functioning lung tissue. As a result, the hyper-expanded lung tissue causes inefficient breathing due to its own reduced functionality and because it adversely affects the functionality of adjacent healthy tissue.

Lung reduction surgery is a conventional method of treating emphysema. However, such a conventional surgical approach is relatively traumatic and invasive, and, like most surgical procedures, is not a viable option for all patients.

Some recently proposed treatments for emphysema or other lung ailments include the use of devices that isolate a diseased region of the lung in order to modify the air flow to the targeted lung region or to achieve volume reduction or collapse of the targeted lung region. According to such treatments, bronchial isolation devices are implanted in airways feeding the targeted region of the lung. The isolation devices block or regulate fluid flow to the diseased lung region through one or more bronchial passageways that feed air to the targeted lung region. As shown in Figure 1, the bronchial isolation of the targeted lung region is accomplished by implanting a bronchial isolation device 10 into a bronchial passageway 15 that feeds air to a targeted lung region 20.

10

15

20

25

The bronchial isolation device 10 regulates airflow through the bronchial passageway 15 in which the bronchial isolation device 10 is implanted. The bronchial isolation devices can be, for example, one-way valves that allow flow in the exhalation direction only, occluders or plugs that prevent flow in either direction, or two-way valves that control flow in both directions.

The bronchial isolation device can be implanted in a target bronchial passageway using a delivery catheter that is guided with a guidewire that is placed through the trachea (via the mouth or the nasal cavities) and through the target location in the bronchial passageway. A commonly used technique is to perform what is known as an "exchange technique", whereby the guidewire is fed through the working channel of a flexible bronchoscope and through the target bronchial passageway. The bronchoscope is then removed from the bronchial tree while leaving the guidewire in place. This is an effective, but somewhat difficult procedure. The guidewire is typically quite long so that it can reach into the bronchial tree, which makes removal of the bronchoscope while keeping the guidewire in place quite difficult. The difficulty arises in that the guidewire can catch onto the inside of the working channel while the bronchoscope is being removed so that the bronchoscope ends up dislodging the guidewire tip from the target bronchial lumen or pulling the guidewire out of the bronchial tree. In view of this difficulty, it would be advantageous to develop an improved method and device for performing the guidewire exchange technique.

In certain circumstances, it is desirable to remove a previously-implanted bronchial isolation device. For example, it may be desirable to remove an implanted device immediately following an implantation procedure, such as

10

15

20

25

where the device has been placed incorrectly or where there is some other problem with the device. It may also be desirable to remove an implanted device as part of a normal therapeutic procedure. Many conventional bronchial isolation devices are not designed for easy removal and, as a consequence, removing such implanted devices can be difficult and costly. Thus, there is a need for improved methods and devices for removing a bronchial isolation device that has been implanted in a bronchial passageway.

As discussed above, one of the major problems experienced by patients who have emphysema is difficulty in fully expelling air from the lungs during exhalation. This is generally due to at least two factors, the loss of elasticity in the lung parenchyma, and the loss of radial tethering on the airways leading to distal airway collapsed during exhalation. Both of these factors make it very difficult for an emphysematic patient to fully exhale from the diseased portions of their lungs. One conventional way of improving this condition is to add additional collateral air channels from the diseased distal lung parenchyma into the proximal airways. The collateral air channels provide alternate routes for air to exit the diseased portion of the lung.

The collateral channels can be formed by cutting or puncturing a channel through the bronchial wall and into the lung tissue or parenchyma. The collateral channels through the bronchial wall are sometimes held open with structures such as stents, grommets or the like. As mentioned, the addition of the collateral channels allows trapped gas in the distal lung tissue to be vented much more easily upon exhalation. However, these collateral channels might undesirably also allow more air to flow into the diseased tissue during inhalation, and may increase hyperinflation, and especially dynamic

15

20

PCT/US03/16999

hyperinflation (hyperinflation during exertion). Thus, there is a need for devices and methods for regulating air flow through collateral air channels into the diseased lung region.

5 **DISCLOSURE OF INVENTION**

Disclosed is a method of deploying a guidewire in a lung of a patient.

The method comprises removably coupling a distal end of the guidewire to a distal end of a delivery device having an elongated shaft and a lumen extending therethrough; positioning the distal end of the delivery device through the patient's trachea into a bronchial passageway way so that the distal end of the guidewire is disposed in the bronchial passageway of the lung; and removing the delivery device from bronchial passageway while the distal end of the guidewire remains in the bronchial passageway.

Also disclosed is a guidewire grasping tool for coupling a guidewire to a bronchoscope positionable in the lung of a patient. The grasping tool comprises an elongate shaft having a proximal end and a distal end, and a grasping member at the distal end of the elongate shaft. The elongate shaft is sized to be positioned within a lumen of a bronchoscope so that the grasping member protrudes outwardly from a distal end of the bronchoscope and the proximal end is located near a proximal end of the bronchoscope. The grasping member can grasp a guidewire to couple the guidewire to the bronchoscope and release the guidewire from the bronchoscope.

Also disclosed is a system for use in a lung of a patient. The system comprises a bronchoscope having a proximal end and a distal end. The

10

15

20

25

bronchoscope has an elongated flexible shaft and a least one internal lumen. The system further comprises a grasping tool coupled to the bronchoscope, wherein the grasping tool can be used to slidably couple the guidewire to the bronchoscope exterior to the flexible shaft.

Also disclosed is a method of removing a flow control device implanted in a bronchial passageway of a patient. The method comprises providing a removal device having an elongate shaft and a distal engaging element; inserting the removal device through the bronchial isolation device such that the distal engaging element is positioned within or distally of the bronchial isolation device in the bronchial passageway; transitioning the engaging element of the removal device to have a radial size that is larger than the radial size of at least a portion of the bronchial isolation device; and engaging the bronchial isolation device with the distal engaging element to urge the bronchial isolation device in the proximal direction, thereby removing the bronchial isolation device out of the bronchial passageway.

Also disclosed is a removable flow control device for implanting in a bronchial passageway. The devices comprises a valve member that regulates fluid flow through the flow control device; a seal member that at least partially surrounds the valve member, wherein the seal member seals with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway; a retainer member secured to the seal member, wherein the retainer member exerts a radial force against the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway and retains the flow control device in a fixed location in the bronchial passageway; and a removal handle attached to the retainer member,

10

15

20

the handle being configured to collapse the retainer member upon application of a force to the handle member.

Also disclosed is a flow control device for placement in a bronchial wall of a bronchial passageway in a patient's lung, the bronchial wall having inner and outer surfaces. The flow control element comprises a tubular body having first and second ends and a passage therethrough, the tubular body being configured to extend through the bronchial wall with the passage in communication with the bronchial passageway; a first flange on the first end configured to engage an inner surface of the bronchial wall; a retainer coupled to the tubular body for retaining the tubular body in the bronchial wall; and a valve in fluid communication with the passage, the valve configured to allow fluid flow through the passage in a first direction and restrict fluid flow through the passage in a second direction.

Also disclosed is a method of modifying fluid flow through a channel in communication with a bronchial passageway in a patient's lung, the bronchial passageway having a wall through which the channel extends, the wall having inner and outer surfaces. The method comprises positioning a flow control device in the bronchial passageway, the flow control device having first and second ends and a passage therebetween; inserting the first end of the flow control device through the channel in the wall so that the passage is in communication with the bronchial passageway; securing the flow control device in the wall; allowing fluid flow in a first direction through the passage to or from the bronchial passageway.

10

15

20

Also disclosed is a method of treating a target region of a patient's lung. The method comprises deploying at least one bronchial isolation device in a bronchial passageway of the target region, wherein the bronchial isolation device allows flow in an expiration direction and restricts flow in an inspiration direction through the bronchial passageway; and forming at least one channel that extends through a wall of a bronchial passageway of the target region, wherein the channel provides a fluid passageway between a location internal to the bronchial passageway and a location external to the bronchial passageway.

8

Other features and advantages of the present invention should be apparent from the following description of various embodiments, which illustrate, by way of example, the principles of the invention.

BRIEF DESCRIPTION OF DRAWINGS

The objects, advantages and features of this invention will be more readily appreciated from the following detailed description, when read in conjunction with the accompanying drawing, in which:

Figure 1 shows an anterior view of a pair of human lungs and a bronchial tree with a bronchial isolation device implanted in a bronchial passageway to bronchially isolate a region of the lung.

- Figure 2 illustrates an anterior view of a pair of human lungs and a bronchial tree.
 - Figure 3 illustrates a lateral view of the right lung.
 - Figure 4 illustrates a lateral view of the left lung.

15

20

Figure 5 illustrates an anterior view of the trachea and a portion of the bronchial tree.

Figure 6 shows a guidewire delivery system that can be used to deliver a guidewire to a location in a bronchial passageway, wherein the guidewire is located externally to a delivery device.

Figure 7 shows a perspective view of a bronchoscope.

Figure 8 shows an enlarged view of a distal region of a bronchoscope.

Figure 9 shows a first embodiment of a guidewire grasping tool.

Figure 10A shows an enlarged view a distal region of the grasping tool of

Figure 9 protruding through the distal end of a working channel of a

bronchoscope.

Figure 10B shows an enlarged view a distal region of another embodiment of the grasping tool of Figure 9 protruding through the distal end of a working channel of a bronchoscope.

Figure 11A shows an enlarged view a distal region of another embodiment of a grasping tool protruding through the distal end of a working channel of a bronchoscope.

Figure 11B shows an enlarged view of the distal region of the grasping tool of Figure 11 protruding through the distal end the bronchoscope and grasping a guidewire.

Figure 12A shows an enlarged view of the distal region of the grasping tool of Figure 11 in an open state.

Figure 12B shows an enlarged view of the distal region of the grasping tool of Figure 11 in a closed state.

25 Figure 13 shows another embodiment of a grasping tool.

10

15

20

25



Figure 14 shows an enlarged view of the distal region of the grasping tool of Figure 13 protruding through the distal end the bronchoscope and grasping a guidewire.

Figure 15 shows an enlarged view of the distal region of the grasping tool of Figure 13 protruding through the distal end the bronchoscope and grasping the guidewire.

Figure 16 shows an enlarged view of the distal region of the grasping tool of Figure 13 protruding through the distal end the bronchoscope and releasing the guidewire.

Figure 17A shows a perspective view of another embodiment of a grasping tool comprising a clip located on a distal region of a bronchoscope.

Figure 17B shows a perspective view of another embodiment of the clip grasping tool located on a distal region of a bronchoscope.

Figure 17C shows a perspective view of yet another embodiment of the clip grasping tool located on a distal region of a bronchoscope.

Figure 18 shows a side view of the grasping tool and bronchoscope of Figure 17A.

Figure 19 shows a cross-sectional view of the grasping tool of Figure 17A cut along line A-A of Figure 18.

Figure 20 shows a perspective view of yet another embodiment of the clip grasping tool located on a distal region of a bronchoscope.

Figure 21 shows a side view of the grasping tool and bronchoscope of Figure 20.

Figure 22 shows a cross-sectional view of the grasping tool of Figure 20 cut along line A-A of Figure 21.

20

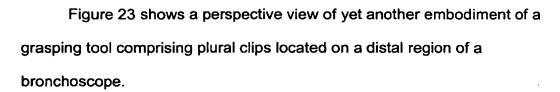


Figure 24 shows a perspective view of an exemplary embodiment of a bronchial isolation device.

Figure 25 shows a cross-sectional side view of the bronchial isolation device of Figure 24.

Figure 26 shows a side view of the bronchial isolation device of Figure 24.

Figure 27 shows a bronchial isolation device mounted in a bronchial passageway and crossed by a removal device.

Figure 28 shows a perspective view of a bronchial isolation device having a removal handle.

Figure 29 shows a bronchial isolation device having multiple removal handles mounted in a bronchial passageway.

Figure 30 shows a side view of a bronchial isolation device having another embodiment of a removal handle.

Figure 31 shows a side view of a bronchial isolation device having another embodiment of a removal handle.

Figure 32 shows an anchor member having a plurality of eyelets for attaching to a removal handle.

Figure 33 shows another embodiment of a bronchial isolation device mounted in a bronchial passageway.

15

20

25

Figure 34 shows a shape-changing removal ring member that is attached to the proximal end of the valve protector wherein the removal ring is in a widened state.

Figure 35 shows the shape-changing removal ring member wherein the removal ring is in a constricted state. 5

Figure 36 shows the shape-changing removal ring member in a constricted state and part of a bronchial isolation device.

Figure 37 shows a cutaway view of the human right lung with a number of channels cut through the walls of bronchial passageways such that the channels fluidly connect the upper lobar bronchus and segmental bronchus directly to the lung parenchyma.

Figure 38 shows a cutaway view of the human right lung with flow control devices mounted in channels cut through the walls of bronchial passageways.

Figure 39 shows a cross-sectional side view of a flow control device for mounting in a channel cut through the wall of a bronchial passageway.

Figure 40A shows a cross-sectional side view of the flow control device of Figure 39 mounted in a channel cut through the wall of a bronchial passageway.

Figure 40B shows a cross-sectional side view of another embodiment of a flow control device mounted in a channel cut through the wall of a bronchial passageway.

Figure 41 shows another embodiment of a flow control device for mounting in a channel cut through the wall of a bronchial passageway.

Figure 42 shows a cross-sectional side view of the flow control device of Figure 41 mounted in a channel cut through the wall of a bronchial passageway.

15

20

Figure 43A shows a planar view of an embodiment of the retainer member of the flow control device of Figure 42 in a pre-assembled state.

Figure 43B shows a planar view of another embodiment of the retainer member of the flow control device of Figure 42 in a pre-assembled state.

Figure 44 shows another embodiment of a flow control device for mounting in a channel cut through the wall of a bronchial passageway.

Figure 45 shows another embodiment of a flow control device for mounting in a channel cut through the wall of a bronchial passageway.

Figure 46 shows another embodiment of a flow control device for mounting in a channel cut through the wall of a bronchial passageway.

Figure 47 shows a perspective view of a seal and retainer member of the flow control device shown in Figure 45.

Figure 48 shows another embodiment of a flow control device for mounting in a channel cut through the wall of a bronchial passageway.

Figure 49 shows a perspective view of a seal and retainer member of the flow control device shown in Figure 48.

Figure 50 shows another embodiment of a flow control device for mounting in a channel cut through the wall of a bronchial passageway.

Figure 51 shows a cutaway, perspective view looking into a bronchial passageway in which the flow control device of Figure 50 is mounted.

Figure 52 shows a cutaway, perspective view looking into a bronchial passageway in which another embodiment of the flow control device of Figure 50 is mounted.

Figure 53 shows a perspective view of a diaphragm of the flow control device of Figure 52.

10

15

20

Figure 54 shows a cross-sectional view of the diaphragm of Figure 53.

Figure 55 shows a cutaway view of the human right lung with flow control devices mounted in channels cut through the walls of bronchial passageways and bronchial isolation devices mounted within the bronchial passageways.

Figure 56 shows a cutaway view of the human right lung with flow control devices mounted in channels cut through the walls of bronchial passageways and bronchial isolation devices mounted within the bronchial passageways.

BEST MODE FOR CARRYING OUT THE INVENTION

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as is commonly understood by one of skill in the art to which the invention(s) belong. Disclosed are various devices and method for treating bronchopulmonary diseases.

Exemplary Lung Regions

Throughout this disclosure, reference is made to the term "lung region". As used herein, the term "lung region" refers to a defined division or portion of a lung. For purposes of example, lung regions are described herein with reference to human lungs, wherein some exemplary lung regions include lung lobes and lung segments. Thus, the term "lung region" as used herein can refer, for example, to a lung lobe or a lung segment. Such nomenclature conform to nomenclature for portions of the lungs that are known to those skilled in the art. However, it should be appreciated that the term "lung region" does not necessarily refer to a lung lobe or a lung segment, but can refer to some other defined division or portion of a human or non-human lung.

10

15

20

25

Figure 2 shows an anterior view of a pair of human lungs 110, 115 and a bronchial tree 120 that provides a fluid pathway into and out of the lungs 110, 115 from a trachea 125, as will be known to those skilled in the art. As used herein, the term "fluid" can refer to a gas, a liquid, or a combination of gas(es) and liquid(s). For clarity of illustration, Figure 2 shows only a portion of the bronchial tree 120, which is described in more detail below with reference to Figure 5.

Throughout this description, certain terms are used that refer to relative directions or locations along a path defined from an entryway into the patient's body (e.g., the mouth or nose) to the patient's lungs. The path of airflow into the lungs generally begins at the patient's mouth or nose, travels through the trachea into one or more bronchial passageways, and terminates at some point in the patient's lungs. For example, Figure 2 shows a path 102 that travels through the trachea 125 and through a bronchial passageway into a location in the right lung 110. The term "proximal direction" refers to the direction along such a path 102 that points toward the patient's mouth or nose and away from the patient's lungs. In other words, the proximal direction is generally the same as the expiration direction when the patient breathes. The arrow 104 in Figure 2 points in the proximal or expiratory direction. The term "distal direction" refers to the direction along such a path 102 that points toward the patient's lung and away from the mouth or nose. The distal direction is generally the same as the inhalation or inspiratory direction when the patient breathes. The arrow 106 in Figure 2 points in the distal or inhalation direction.

The lungs include a right lung 110 and a left lung 115. The right lung 110 includes lung regions comprised of three lobes, including a right upper lobe 130,

10

15

20

a right middle lobe 135, and a right lower lobe 140. The lobes 130, 135, 140 are separated by two interlobar fissures, including a right oblique fissure 126 and a right transverse fissure 128. The right oblique fissure 126 separates the right lower lobe 140 from the right upper lobe 130 and from the right middle lobe 135. The right transverse fissure 128 separates the right upper lobe 130 from the right middle lobe 135.

As shown in Figure 2, the left lung 115 includes lung regions comprised of two lobes, including the left upper lobe 150 and the left lower lobe 155. An interlobar fissure comprised of a left oblique fissure 145 of the left lung 115 separates the left upper lobe 150 from the left lower lobe 155. The lobes 130, 135, 140, 150, 155 are directly supplied air via respective lobar bronchi, as described in detail below.

Figure 3 is a lateral view of the right lung 110. The right lung 110 is subdivided into lung regions comprised of a plurality of bronchopulmonary segments. Each bronchopulmonary segment is directly supplied air by a corresponding segmental tertiary bronchus, as described below. The bronchopulmonary segments of the right lung 110 include a right apical segment 210, a right posterior segment 220, and a right anterior segment 230, all of which are disposed in the right upper lobe 130. The right lung bronchopulmonary segments further include a right lateral segment 240 and a right medial segment 250, which are disposed in the right middle lobe 135. The right lower lobe 140 includes bronchopulmonary segments comprised of a right superior segment 260, a right medial basal segment (which cannot be seen from the lateral view and is not shown in Figure 3), a right anterior basal

15

20

25

segment 280, a right lateral basal segment 290, and a right posterior basal segment 295.

Figure 4 shows a lateral view of the left lung 115, which is subdivided into lung regions comprised of a plurality of bronchopulmonary segments. The bronchopulmonary segments include a left apical segment 310, a left posterior segment 320, a left anterior segment 330, a left superior segment 340, and a left inferior segment 350, which are disposed in the left lung upper lobe 150. The lower lobe 125 of the left lung 115 includes bronchopulmonary segments comprised of a left superior segment 360, a left medial basal segment (which cannot be seen from the lateral view and is not shown in Figure 4), a left anterior basal segment 380, a left lateral basal segment 390, and a left posterior basal segment 395.

Figure 5 shows an anterior view of the trachea 125 and a portion of the bronchial tree 120, which includes a network of bronchial passageways, as described below. In the context of describing the lung, the terms "pathway" and "lumen" are used interchangeably herein. The trachea 125 divides at a lower end into two bronchial passageways comprised of primary bronchi, including a right primary bronchus 410 that provides direct air flow to the right lung 110, and a left primary bronchus 415 that provides direct air flow to the left lung 115. Each primary bronchus 410, 415 divides into a next generation of bronchial passageways comprised of a plurality of lobar bronchi. The right primary bronchus 410 divides into a right upper lobar bronchus 417, a right middle lobar bronchus 420, and a right lower lobar bronchus 422. The left primary bronchus 415 divides into a left upper lobar bronchus 425 and a left lower lobar bronchus 430. Each lobar bronchus, 417, 420, 422, 425, 430 directly feeds fluid to a

10

15

20

25

respective lung lobe, as indicated by the respective names of the lobar bronchi.

The lobar bronchi each divide into yet another generation of bronchial passageways comprised of segmental bronchi, which provide air flow to the

bronchopulmonary segments discussed above.

As is known to those skilled in the art, a bronchial passageway defines an internal lumen through which fluid can flow to and from a lung or lung region. The diameter of the internal lumen for a specific bronchial passageway can vary based on the bronchial passageway's location in the bronchial tree (such as whether the bronchial passageway is a lobar bronchus or a segmental bronchus) and can also vary from patient to patient. However, the internal diameter of a bronchial passageway is generally in the range of 3 millimeters (mm) to 10 mm, although the internal diameter of a bronchial passageway can be outside of this range. For example, a bronchial passageway can have an internal diameter of well below 1 mm at locations deep within the lung.

Guidewire Delivery System

Figure 6 shows a delivery system that can be used to deliver a guidewire 600 to a location in a bronchial passageway according to an exchange technique. A distal end of the guidewire 600 is fixed to the outside of a guidewire delivery device, such as a bronchoscope 605, that has been passed through a patient's trachea 125 and into the right lung 130. A guidewire grasping tool 615 is coupled to the bronchoscope such that a grasping member 617 on a distal end of the grasping tool 615 grasps a distal end of the guidewire to secure the guidewire to the bronchoscope, as described in more detail below.

Figure 7 shows an enlarged view of the bronchoscope 605, which in an exemplary embodiment has a steering mechanism 705, a delivery shaft 710, a

15

20

25

working channel entry port 715, and a visualization eyepiece 720. In addition, the bronchoscope can also include a fiber optic bundle mounted inside the length of the bronchoscope for transferring an image from the distal end to the eyepiece 720. In one embodiment, the bronchoscope also includes a camera or charge-coupled device (CCD) for generating an image of the bronchial tree. As described below, the guidewire grasping tool 615 can be coupled to the bronchoscope via the entry port 715. Figure 8 shows an enlarged view of the distal portion 805 of the bronchoscope 605. A working channel 810 extends through the shaft 710 and communicates with the entry port 715 (shown in Figure 7) at the proximal end of the bronchoscope 605. The bronchoscope 605

Figure 9 shows a first embodiment of the guidewire grasping tool 615, which includes a proximal actuator handle 910 that is attached to an elongate grasping wire 915 that is sized to be positioned within the working channel 810 of the bronchoscope 605. That is, the elongate grasping wire 915 has a diameter that is less than the diameter of the working channel 810. The elongate grasping wire 915 is sufficiently long such that the distal end of the elongate grasping wire 915 can protrude outwardly from the distal end of the working channel 810 when the guidewire grasping tool 615 is coupled to the bronchoscope 605, as described below.

can also include various other channels 820.

With reference to Figure 9, a grasping member comprised of a grasping loop 920 is located at the distal end of the elongate grasping wire 915. The grasping loop 920 is of sufficient size to receive the guidewire 600 therethrough. The grasping tool 920 also includes a torquer 925 that is attached to the elongate grasping wire 915. The torquer 925 can be rotated to apply torque to

10

15

20

25

the grasping wire 915 and cause the grasping wire 915 (and the grasping loop 920) to rotate. A coupler 930 is located near the actuator handle 910 for removably coupling the guidewire grasping tool 615 to the bronchoscope. The coupler can comprise, for example, a connection component (such as a Luer fitting) that couples to a corresponding connection component on the working channel entry port 715 (shown in Figure 7).

In use, the distal end of the grasping wire 915 is inserted into the working channel 810 of the bronchoscope 605, such as by inserting the grasping loop 920 through the working channel entry port 715. The grasping wire 915 is then fed through the working channel 810 so that the grasping loop 920 protrudes through the distal end of the working channel 810, as shown in Figure 10A. The actuation handle 910 is then coupled to the bronchoscope by attaching the coupler 930 on the grasping tool to the corresponding coupler on the working channel entry port 715. As mentioned, the grasping wire 915 is sufficiently long so that the grasping loop 920 can protrude outwardly from the distal end of the working channel 810 when the coupler 930 is coupled to the working channel entry port 715.

When the guidewire grasping tool 615 is coupled to the bronchoscope 605, the distal end 1010 of the guidewire 600 is inserted through the grasping loop 920 so that the length of the guidewire 600 is positioned adjacent the length of the elongate shaft 710 of the bronchoscope 605, as shown generally in Figure 6 and in a close-up of the distal region in Figure 10A. In one embodiment, the actuator handle 910 can be pulled in a proximal direction, which moves the grasping loop 920 proximally into the working channel 810.

As the grasping loop 920 moves into the working channel, it tightens around the

10

15

20

25

guidewire 600 and holds it in place against the distal end of the bronchoscope. In another embodiment, shown in Figure 10B, the grasping wire 915 is slidably mounted within an elongate tube 1011. The grasping wire 915 can be pulled distally relative to the elongate tube 1011, which draws the grasping loop 920 into the elongate tube 1011 and tightens the grasping loop 920 around the guidewire 600.

With the distal ends of the guidewire 600 and the bronchoscope 605 secured to one another as such, the bronchoscope 605 and the attached guidewire 600 can then be fed through the patient's trachea until the distal end of the bronchoscope 605 locates at a desired location within the bronchial tree, as shown in Figure 6. In this manner, the distal end 1010 of the guidewire 600 is also located at the desired location within the bronchial tree. Once the guidewire is in place, the guidewire grasping tool 615 can be released from the guidewire 600 by loosening the loop's grip on the guidewire. This can be accomplished by removing tension from the grasping wire 915 to loosen the grasping loop's hold on the distal end of the guidewire 600. The bronchoscope 605 can then be withdrawn, leaving the guidewire 600 in place.

Figures 11A and 11B shows another embodiment of the guidewire grasping tool 615. In this embodiment, the grasping member comprises forceps 1105 that are located at the distal end of an elongate grasping sleeve 1106 (rather than at the end of a grasping wire as in the previous embodiment). The forceps 1105 include two or more fingers 1110 that are slidably mounted within a collar 1111 on the distal end of the sleeve 1106. As shown in Figure 11B, the guidewire 600 can be grasped by the forceps 1105 and secured to the distal end of the bronchoscope 605. Figures 11A and 11B show the distal end of the

10

15

20

25

sleeve 1106 being generally straight and generally parallel with the length of the bronchoscope 605. It should be appreciated that the distal end of the sleeve 1106 can also have a curved configuration.

Figures 12A and 12B show how the fingers 1110 can be opened and closed relative to one another. The fingers 1110 are biased to move away from one another when unopposed. As shown in Figure 12A, the fingers 1110 can be moved in the distal direction relative to the collar 1111 by pushing on the handle 910 or otherwise actuating the handle 910 in some manner. In this position, the collar 1111 does not constrict the fingers together so that the bias causes the fingers 1110 to separate from one another. As shown in Figure 12B, the fingers 1110 can be pulled in the proximal direction relative to the collar 1111 by pulling on the actuator handle 910, which is coupled to the fingers 1110 via an elongate connector slidably positioned in the sleeve 1106. When the fingers are moved in the proximal direction, they move deeper into the collar 1111, which constricts the fingers 1110 toward one another to decrease the size of the space between the fingers. The default state of the fingers 1110 is to move away from one another. Thus, when the fingers are moved in the distal direction (by manipulating the actuator handle 910), the fingers 1110 slide out of the tapered collar 111 and separate from one another, as the tapered collar 1111 no longer constricts the fingers.

In the embodiment shown in Figures 11-12, the fingers 1110 extend along a direction that is transverse to the longitudinal axis 1115 of the bronchoscope shaft 710. In this manner, the fingers 1110 can hold the guidewire 600 at a location that is adjacent an outer surface of the shaft 710, as shown in Figure 11B.

10

15

20

25

Figure 13 shows another embodiment of the guidewire grasping tool 615, which includes the actuator handle 910, an elongate sleeve 1202, and a gasping member comprised of a pair of opposed grasping jaws 1210. The grasping jaws 1210 are attached to the actuator handle 910 through the sleeve 1205, as described below. Each grasping jaw 1210 has a notch 1215. In the illustrated embodiment, the notches 1215 are v-shaped or u-shaped, although the notches 1215 can have other shapes configured to receive the guidewire 600. The notches 1215 collectively form an eyelet or opening 1220, the size of which can be varied by moving the jaws 1210 toward or away from one another, such as by manipulating the actuator handle 910. As shown in Figure 14, the distal end of the guidewire 600 is inserted through the opening 1220 formed by the notches 1215 so that the guidewire 600 is grasped by the jaws 1210. As shown in Figure 15, the jaws 1210 can be drawn toward one another to decrease the size of the opening 1220 and tightly secure the guidewire 600 between the jaws. Advantageously, the guidewire is held at a point in axial alignment with the bronchoscope to facilitate placement.

In one embodiment, the grasping jaws 1210 are biased away from one another such that their default state is to move away from one another unless otherwise inhibited. The actuator handle 910 can be pulled in a proximal direction to pull the jaws 1210 deeper into the sleeve 1202 so that the sleeve 1202 effectively compresses the jaws 1210 toward one another. The actuator handle 910 can also be pushed in a distal direction to move the jaws 1210 distally and outwardly of the sleeve 1202. The jaws 1210 are then released from the sleeve 1202 so that the bias causes the jaws to move away from one another and increase the size of the opening 1220, as shown in Figure 16. The

10

15

20

25

guidewire 600 can be released from the jaws 1210 by increasing the size of the opening 1220 to a sufficient size. Alternately, the jaws 1210 may be actuated in other ways.

Figures 17-23 show another embodiment of the guidewire grasping tool 615, wherein the grasping tool 615 comprises a clip or ring 1610 located on the distal end of a flexible bronchoscope 605 to hold the guidewire 600 along the side of the bronchoscope 605 during placement of the guidewire in the bronchial tree. In the embodiment shown in Figure 17A, the clip 1610 has a length that provides the clip 1610 with an oblong shape. As shown in Figure 17B, the length of the clip 1610 can also be shorter so that the clip 1610 has a disc-like appearance. It should be appreciated that the length of the clip 1610 can vary. With reference to Figures 17A and 17B, the clip 1610 has small side guidewire lumen 1615 through which the guidewire 600 is passed. The clip 1610 can be made of a flexible and elastic material, such as molded silicone. It should be appreciated that the guidewire lumen 1615 can also be formed by a protrusion that extends outwardly from the side of the bronchoscope to form an eyelet.

Figure 17C shows another embodiment of the clip 1610, wherein the guidewire lumen 1615 is formed by a series of open-ended channels 1709 in the clip 1610. The channels 1709 are c-shaped, with the open end of each successive channel 1709 facing a different direction. In this manner, the channels 1709 collectively form a passageway in which the guidewire 600 can be mounted. The guidewire 600 does not have to be threaded into the passageway. Rather, the guidewire 600 can be inserted into the open ends of the channels 1709.

10

15

20

As shown in Figure 19, the clip 1610 includes a hole 1810 for mounting the clip 1610 onto the bronchoscope 615. The hole 1810 is sized to receive the distal region of the bronchoscope 605, such as in a press fit fashion. The clip 1610 can be annular, such as shown in Figure 19, so as to be slidable over the end of the bronchoscope 615. Alternately, the clip 1610 can be partially annular to allow the clip 1610 to be mounted on the bronchoscope from the side.

Alternately, the clip 1610 can be integrally formed with the bronchoscope 605.

The clip 1610 retains the guidewire 600 in place relative to the bronchoscope 605. This prevents the guidewire 600 from moving relative to the bronchoscope 605 while the scope/wire combination is advanced through the bronchial tree to the target location. If sufficient force is applied to the guidewire 600, the guidewire 600 can also be slidably moved through the lumen 1615. Thus, the clip 1610 also allows the guidewire to be released once the scope/wire combination has been advanced to the desired bronchial location. Thus, the guidewire 600 may be left behind in the bronchial passageway when the bronchoscope 605 is withdrawn.

Figures 20-22 show another embodiment of the clip 1610 in which an elongate slit 2001 is located in the clip 1610 along the length of the lumen 1615. The slit 2001 communicates with the lumen 1615 to provide an opening through which the guidewire 600 can be inserted into the lumen 1615 or pulled out of the lumen 1615 from the side. This eliminates the need to thread the guidewire lengthwise into the lumen 1615. The clip 1610 shown in Figures 20-22 may be made of an elastomer material to provide some flexibility in the region of the slit 2001.

10

15

20

25

In one embodiment, the guidewire 600 has a radially-enlarged distal region, such as a small protrusion, bump, or the like, to provide the guidewire 600 with a sufficiently large diameter relative to the lumen 1615 such that it cannot inadvertently slide out of the lumen 1615. The enlarged region of the guidewire 600 provides a slight detent with respect to the lumen 600 so that the guidewire 600 can be advanced along with the bronchoscope through the bronchial tree without the guidewire 600 inadvertently slipping out of the lumen 1615. However, when the guidewire 600 is in the desired bronchial position, the bronchoscope 605 can be pulled proximally from the bronchial tree over the

guidewire to leave the guidewire in place.

In another embodiment, the diameter of the lumen 1615 is oversized relative to the diameter of the guidewire 600, and the lumen 1615 is lined with a low friction material, such as PTFE, to allow the guidewire 600 to slide smoothly and freely through the lumen 1615. In this embodiment, an operator can manually hold the guidewire 600 to the bronchoscope 605 at the bronchoscope handle. Once the guidewire 600 is in position in the bronchial passageway, the bronchoscope 605 is removed easily and the guidewire left in place. Given that the guidewire 600 is connected to the bronchoscope 605 only at or near the distal end of the bronchoscope, the guidewire 600 may be held manually at the location where the guidewire enters the patient's body (either the nose or the mouth) during withdrawal of the bronchoscope 605. This makes the removal of the bronchoscope much easier after placement, and greatly reduces the chance of dislodging the guidewire.

In an alternative embodiment, the guidewire grasping tool 615 comprises two or more clips 1610 that secure an elongate guidewire tube 2210 to the side

10

15

20

of the bronchoscope, as shown in Figure 23. The guidewire tube 2210 is positioned in the lumen 1615 of the clips 1610. The clips 1610 hold the tube 2210 in a position substantially adjacent the side of the bronchoscope 605 such that the tube 2210 is aligned substantially parallel to the bronchoscope 605. The guidewire 600 is inserted lengthwise through the tube 2210 such that the distal end of the guidewire 600 protrudes distally out of the tube 2210. The tube 2210 can have a slit that provides an opening into the tube 2210 so that the guidewire 600 can be inserted or removed through the side of the tube 2210.

The clips 1610 can be set at a variety of distances from one another. In one embodiment, the most proximal clip on the bronchoscope is spaced a maximum of 60 centimeters from the most distal clip on the bronchoscope. In another embodiment, the most proximal clip is spaced at least 10 cm from the most distal clip. It should be appreciated, however, that the spacing between the most proximal and the most distal clip can vary. The most distal clip can be located a variety of distances from the distal end of the bronchoscope.

The guidewire tube 2210 can be made of or lined with a low friction material, such as PTFE, to allow the guidewire 600 to slide freely through the tube. In one embodiment, the guidewire tube 2210 is flexible enough to allow it to bend freely when the distal tip of the bronchoscope 605 is deflected. In one embodiment, the clips 1610 are manufactured of an elastomer, such as silicone. It should be appreciated that the multiple clips 1610 can also be used without the tube 2210 so that the guidewire 600 is positioned directly in the lumen 1615 of the multiple clips 1610 rather than within the tube 2210. The tube 2210 can also be used with a single clip 1610 rather than with multiple clips.

25 Exemplary Bronchial Isolation Devices

10

15

20

25

As discussed above, a target lung region can be bronchially isolated by advancing a bronchial isolation device into the one or more bronchial pathways that feed air to and from the targeted lung region. The bronchial isolation device can be a device that regulates the flow of fluid into or out of a lung region through a bronchial passageway.

Figures 24-26 illustrates an exemplary one-way valve bronchial isolation device that can be mounted in a bronchial passageway for regulating fluid flow therethrough. Figure 24 shows an isometric view of the device, Figure 25 shows a cross sectional view of the device, and Figure 26 is a side view of the device. The device has a flow control valve comprised of an elastomeric duckbill valve 2005, which can be manufactured of a material such as silicone. The duckbill valve includes a pair of movable, opposed walls or leaflets that meet at an apex position 2006 that can open and close. The walls can move to an open position in response to fluid flow in a first direction and move to a closed position in response to fluid flow in a second direction, which is generally opposite to the first direction. The valve 2005 is bonded inside a body 2010, which can also be manufactured of silicone. An adhesive can be used to bond the valve 2005 to the body 2010. The valve 2005 is a one-way valve, although two-way valves can also be used, depending on the type of flow regulation desired. The valve 2005 could also be replaced with an occluding member that completely blocks flow through the bronchial isolation device 2000.

A self-expanding retainer member 2015 is coupled to the body 2010. In one embodiment, the retainer member 2015 is manufactured from an elastic material, such as, for example, laser cut nickel titanium (Nitinol) tubing. The retainer member 2015 is comprised of a frame formed by a plurality of

10

15

20

interconnected struts that define several cells. The retainer member 2015 can be expanded and heat treated to the diameter shown in order to maintain the super-elastic properties of the material. The retainer member 2015 is positioned inside a cuff 2020 of the body 2010 and retained therein by applying adhesive in the regions 2021 inside the distal cells of the retainer member.

The retainer member 2015 has proximal curved ends 2022 that are slightly flared. When the device is deployed inside a bronchial passageway, the proximal ends 2022 anchor with the bronchial wall and prevent migration of the device in the exhalation direction (i.e., distal-to-proximal direction). In addition, the retainer member 2015 has flared prongs 2025 that also anchor into the bronchial wall and serve to prevent the device from migrating in the inhalation direction (i.e., proximal-to-distal direction). Alternately, the retainer member 2015 can be manufactured of a material, such as Nitinol, and manufactured such that it changes shape at a transition temperature.

The bronchial isolation device 2000 includes a seal member that provides a seal with the internal walls of the bronchial passageway when the flow control device is implanted into the bronchial passageway. The seal member includes a series of radially-extending, circular flanges 2030 that surround the outer circumference of the bronchial isolation device 2000. When the device 2000 is implanted in a bronchial passageway, the seal member can seal against the bronchial walls to prevent flow past the device in either direction, but particularly in the inhalation direction. In one embodiment, the radial flanges are of different diameters in order to seal within passageways of different diameters.

15

20

25

With reference to Figure 25, a rigid valve protector 2040 is bonded inside a proximal end of the body 2010 around the valve member 2005. The valve protector 2040 provides structural support to the radial flanges 2030 and protects the valve member 2005 from structural damage. In one embodiment, the valve protector 2040 is manufactured from nickel titanium tubing, although other rigid, biocompatible material would work, such as stainless steel, plastic resin, etc.

The valve protector 2040 can have two or more windows 2045 comprising holes that extend through the valve protector 2040, as shown in Figure 25. The windows 2045 can provide a location where a removal device, such as rat-tooth graspers or forceps, can be inserted in order to facilitate removal of the bronchial isolation device 2000 from a bronchial passageway. In this regard, one jaw of the rat-tooth grasper is inserted into the valve protector 204, either above or below the valve, and the other jaw is pushed into the seal member. This allows the jaws of the grasper to close onto the wall of the valve protector 2040 and gain purchase through one of the windows 2045. The bronchial isolation device 2000 can be removed, for example, by pulling proximally on the device using the grasper.

It should be appreciated that the bronchial isolation device 2000 is merely an exemplary bronchial isolation device and that other types of bronchial isolation devices for regulating air flow can also be used. For example, the following references describe exemplary bronchial isolation devices: U.S. Patent No. 5,954,766 entitled "Body Fluid Flow Control Device; U.S. Patent Application Serial No. 09/797,910, entitled "Methods and Devices for Use in Performing Pulmonary Procedures"; and U.S. Patent Application Serial No. 10/270,792,

10

15

20

25

entitled "Bronchial Flow Control Devices and Methods of Use". The foregoing references are all incorporated by reference in their entirety and are all assigned to Emphasys Medical, Inc., the assignee of the instant application.

Removal of Bronchial Isolation Devices from Bronchial Passageways

In some circumstances, it may be desirable to remove a previously-implanted bronchial isolation device. Disclosed are various devices and methods for removing a bronchial isolation device that has been implanted in a bronchial passageway. A first embodiment of a removable bronchial isolation device was described above with reference to Figures 24-26. As discussed, the bronchial isolation device 2000 includes grasping windows 2045. The grasping windows 2045 can be grasped using any of a variety of types of commercially-available, flexible grasping forceps. The forceps can be deployed into the bronchial passageway such as by deploying the forceps through the working channel of a flexible bronchoscope. In one embodiment, the forceps are a "rats-tooth" style that would provide a very firm purchase through the grasping windows 2045. Once the forceps grasp the flow control device through the grasping window 2045, the forceps are withdrawn to remove the device from the bronchial passageway.

With reference to Figure 27, there is now described a method of removing a bronchial isolation device 2000 that has been implanted in a bronchial passageway 2310. A removal device having an enlargeable engaging element on the distal region of the removal device is inserted through the bronchial isolation device such that the engaging element is positioned distally of the bronchial isolation device. The engaging element is then enlarged to a diameter that is larger than the diameter of at least a portion of the bronchial

10

15

20

25

isolation device. The removal device is then moved in the proximal direction so that the enlarged engaging element inserts into, abuts, or otherwise engages the bronchial isolation device and pushes the bronchial isolation device in the proximal direction, thereby pushing and removing the bronchial isolation device out of the bronchial passageway.

In the embodiment shown in Figure 27, the removal device comprises flexible forceps 2305 having an elongated delivery arm 2310 and jaws 2315 that may be opened relative to one another in a well-known manner. The forceps 2305 can be deployed to the bronchial passageway by advancing them through the working channel of a flexible bronchoscope. The jaws 2315 of the forceps 2305 are then opened to a diameter larger that that of the valve protector sleeve 2040. The forceps 2305 are withdrawn in the proximal direction while keeping the jaws 2315 open so that the jaws 2315 abut the implanted device. The open jaws 2315 push against the device and remove it. In another embodiment, the removal device comprises a delivery catheter and the enlargeable engaging element comprises an inflatable balloon on a distal region of the catheter. The bronchial isolation device can be removed by crossing the valve with the inflatable balloon, inflating the balloon to a diameter larger than the diameter of the bronchial isolation device 2000, and pulling the catheter proximally to remove the device.

Figure 28 shows an isometric view of a bronchial isolation device 2410 that includes a removal handle 2415 attached to a proximal side of the device 2410. The bronchial isolation device 2410 can have a similar or identical construction to the bronchial isolation device 2000 described above. The removal handle 2415 has a curved or straight contour that can be grasped by a

10

15

20

25

removal device, such as forceps, for removing the bronchial isolation device 2410 from a bronchial passageway. The removal handle 2415 can be constructed of a flexible material, such as wire, suture, or of a rigid or semi-rigid material. The removal handle 2415 is attached at opposite ends to a portion of the bronchial isolation device, such as to the proximal radial flange 2030. In another embodiment, shown in Figure 29, the removal handle 2415 is attached to the retainer member 2015, such as by threading the ends of the removal handle through the cells in the retainer member 2015.

As shown in Figure 29, a removal device 2505 having an elongated. flexible shaft 2510 and a grasper 2515 is deployed to the location of the bronchial isolation device 2000 in the bronchial passageway 2506. The grasper 2515 has a structure that can couple to the removal handle 2415 for providing a force to the bronchial isolation device 2410 in at least the proximal direction 2516. In this regard, the grasper 2515 can be a hook, as shown in Figure 29, or it can be movable jaws, forceps, or the like. Once the removal device 2505 is coupled to the removal handle 2415, the removal device 2505 is pulled in the proximal direction 2516 so that the graspers 2515 pull the bronchial isolation device 2410 out of the bronchial passageway. The force provided by the removal device 2505 can dislodge the bronchial isolation device 2410 from engagement with the bronchial walls. Alternately, the removal handle 2415 can be structurally coupled to predetermined points on the retainer member 2015 such that the retainer member radially collapses when a sufficient force is applied to the removal handle 2415 by the graspers 2515. Thus, the retainer will automatically disengage from the bronchial wall when a sufficient force is applied to the removal member.

10

15

20

25

It should be appreciated that in some circumstances pulling on the removal handle 2415 might apply an off-center load to the bronchial isolation device 2410, which may cause the device to tilt sideways in the bronchial passageway as the device is being removed. This may make removal more difficult. In a further embodiment, the removal device includes additional removal handles 2416 that are attached to the bronchial isolation devices in such a manner that the pulling force would be evenly distributed around the bronchial isolation device. The multiple removal handles 2415, 2416 may all be grasped by the graspers to reduce the likelihood of an off-center load. If the removal handles 2415, 2416 are grasped and pulled, the applied tension load is more balanced and allows the device to be pulled out more evenly. In addition, the graspers may be rotated or retracted to shorten the removal handles 2415, 2416 and cause the proximal end of the retainer member to be collapsed, thereby allowing the device to be pulled out easily.

Figure 30 shows another embodiment of the bronchial isolation device 2410 wherein the removal handle 2415 is threaded between the valve protector 2040 and the radial flanges 2030. In this manner, no portion of the removal handle 2415 will be positioned between the radial flanges 2030 and the bronchial wall when the bronchial isolation device is deployed in a bronchial passageway eliminating any potential for leakage around the removal handle. In an alternate embodiment, shown in Figure 31, the removal handle 2415 is threaded or sewn through the base of the radial flanges 2030 and bonded in place with an adhesive, such as silicone adhesive. The adhesive is adapted to break free when the removal handle is pulled, allowing the removal handle 2415 to pull on the retainer member.

10

15

20

25

As discussed, the removal handle 2415 can be attached to the retainer member 2015 at one or more locations. Figure 32 shows a retainer member 2015 that can be easily attached to a removal handle 2415. The retainer member 2015 includes at least one eyelet 2810 located on the proximal end of the retainer member 2015. A piece of elongated material can be threaded through the eyelets 2810 to form the removal handle 2415. In one embodiment, a plurality of eyelets are disposed around the periphery of the retainer member 2015. The removal handle loops through all of the eyelets 2810 so that the removal handle 2415 can be tensioned and constricted by applying a force thereto. When a sufficient proximal force is applied to the removal handle, the removal handle 2415 will constrict. The constriction will exert a radial force on the retainer and a sufficient force can be exerted to cause the retainer member 2015 to radially collapse.

In an alternative embodiment, the retainer member 2015 can be manufactured of a material, such as Nitinol, that changes shape at a transition temperature. In this regard, the retainer member 2015 can be configured to collapse when the retainer 2015 is exposed to a temperature that is below the material's transition temperature. After the bronchial isolation device is deployed, the temperature of the retainer 2015 can be reduced below the transition temperature, such as by introducing a chilled saline solution into the bronchial passageway where the device is deployed or by utilizing cryotherapy.

Figure 33 shows a removable bronchial isolation device 2902 wherein the retainer member 2015 is disposed on a proximal side 2905 of the bronchial isolation device and the seal member (comprised of the radial flanges 2030) is disposed on a distal side 2910 of the bronchial isolation device. Thus, the

10

15

20

25

proximal end of the retainer member 2015 is exposed in the proximal direction.

The bronchial isolation device 2902 can be removed from the bronchial passageway by grasping the retainer member 2015 with graspers and pulling the bronchial isolation device.

Figures 34-36 show a removal ring member 3010 that is attached to the proximal end of the valve protector 2040 (described above with reference to Figure 25) of the bronchial isolation device. The valve protector 2040 is manufactured a temperature-sensitive material such as Nitinol. The valve protector 2040 has been heat treated so that it is in the shape configuration shown in Figure 34 at a first temperature and in the shape configuration shown in Figure 35 at a second temperature, which is different from the first temperature. In one embodiment, the first temperature is about the same as room temperature or about the same as body temperature (body temperature is 37 degrees Celsius). The second temperature is either greater than the first temperature of less than the first temperature, so that the valve protector 2040 is either heated or chilled to cause it to transition to the shape shown in Figure 35. The first temperature and the second temperature can be varied according to desired shape-changing characteristics of the valve protector 2040.

Thus, at the first temperature, the removal ring member 3010 forms an open lumen through the valve protector to allow for passage of a guidewire and delivery system for delivery into the bronchial tree. Once the bronchial isolation device reaches the second temperature (by either cooling or heating the removal ring 3010), the removal ring 3010 deflects to a compressed state and forms into a shape that allows the removal ring 3010 to function as a removal handle, as shown in Figure 35. A removal member, such as forceps, can then

10

15

20

25

be used to grasp the compressed removal ring 3010 that is positioned in a bronchial isolation device 2000, as shown in Figure 36.

Valve Devices for Use in Bronchial Wall Channels

Figure 37 shows a cutaway view of the human right lung. The right upper lobe 130 is shown with a number of channels 3310 cut through the wall of the bronchial passageway such that the channels 3310 fluidly connect the upper lobar bronchus 417 and segmental bronchus 3315 directly to the lung parenchyma 3320. Structural support devices 3325, such as grommets or stents, are positioned within the channels 3310 to keep the channels open and allow the flow of fluid in either direction through the channels 3310.

As discussed, it may be desirable to regulate the flow of fluid through the channels 3310. In this regard, a one-way or a two-way flow control device 3410 can be positioned within any of the channels, as shown in Figure 38. The one-way flow control devices 3410 can be configured to restrict fluid flow in either direction through the channel. For example, the flow control device 3410 can be configured so that fluid can flow out of the distal lung tissue through the channels during exhalation, while preventing fluid from flowing back into the distal lung tissue through the channels during inhalation. This would reduce the likelihood of the diseased lung region becoming hyperinflated as a result of incoming fluid flow through the channels.

Figures 39 and 40A show a flow control device 3410 that is particularly suited for placement in a channel through a bronchial wall. Figure 39 shows the flow control device 3410 in cross section and Figure 40A shows the flow control device 3410 in cross-section mounted in a bronchial wall 3610 of a bronchial passageway. As shown in Figure 40A, the flow control device 3410 anchors

15

20

25

within the bronchial wall in a sealing fashion such that fluid in the bronchial passageway must pass through the flow control device 3410 in order to travel through the channel in the bronchial wall. The flow control device 3410 has fluid flow regulation characteristics that can be varied based upon the design of the flow control device. For example, the flow control device 3410 can be configured to either permit fluid flow in two directions, permit fluid flow in only one direction, completely restrict fluid flow in any direction through the flow control device, or any combination of the above. For example, as shown in Figure 40A, the flow control device 3410 allows fluid to flow from the parenchyma 3615 into the lumen 3620 of the bronchial passageway. The flow control device 3410 can be configured such that when fluid flow is permitted, it is only permitted above a certain pressure, referred to as the cracking pressure.

With reference to Figure 39, the flow control device 3410 includes a tubular main body, such as an annular valve protector 3520 that defines an interior lumen 3510 through which fluid can flow. The flow of fluid through the interior lumen 3510 is controlled by a valve member 3515, such as a duckbill valve, which is mounted inside the annular valve protector 3520. The valve member 3515 is configured to allow fluid flow through the interior lumen 3510 in a first direction and restrict fluid flow through the interior lumen 3510 in a second direction. The valve member 3515 can be a separate piece from the tubular body or it can be integrally formed with the tubular body. An elastomeric seal member 3530 includes one or more radial flanges 3535 that can form a seal with the bronchial wall 3610. The radial flanges 3535 are located on a first end of the flow control device 3410, although it should be appreciated that the flanges 3535 can be located on the second end or on other locations along the

10

15

20

25

length of the flow control device 3410. The flanges 3535 can be separate pieces from the tubular body or they can be integrally formed with the tubular body

As shown in Figure 40A, the flow control device 3410 is positioned within a channel in the bronchial wall 3610 such that the interior lumen 3510 communicates with the bronchial passageway. The flange 3535a is positioned and shaped so that it engages the interior surface of the bronchial wall 3610. The flange 3535a forms a seal with an inner surface of the bronchial wall so that fluid must flow through the valve 3515 in order to flow through the channel in the bronchial passageway. Thus, the seal member 3530 prevents fluid in the bronchial passageway from leaking through the channel in the bronchial wall 3610.

The flow control device 3410 also includes a retainer member 3540, such as a stent, that is coupled to the tubular main body and that functions to anchor the flow control device 3410 within the channel in the bronchial wall. The retainer member 3540 is positioned within an annular flap 3545 and secured therein, such as by using adhesive 3542 located within the flap 3545. In an alternative design, the valve protector 3520 and the retainer member 3540 could be laser cut from a single piece of material, such as Nitinol tubing, and integrally joined, thereby eliminating the adhesive joint.

The retainer member 3540 has a structure that can contract and expand in size (in a radial direction and/or in a longitudinal direction) so that the retainer member 3540 can expand to grip the bronchial wall 3610 in which it is mounted. In this regard, the retainer member 3540 can be formed of a material that is resiliently self-expanding. In the embodiment shown in Figures 39 and 40A, the

15

20

25

retainer member 3540 comprises a contoured frame that surrounds the flow control device 3410. The frame has one or more loops or prongs 3550 that can expand outward to grip the bronchial wall 3610 and compress at least a portion of the seal member 3550 against the bronchial wall 3610. As shown in Figure 40A, the prongs 3550 are sized and shaped so that they form a flange that engages the exterior surface of the bronchial wall 3610 (the surface that is adjacent the parenchyma 3615). The prongs 3550 and the flange 3535a form flanges that collectively grip the bronchial wall 3610 therebetween to secure the flow control device 3410 to the bronchial wall 3610.

The flow control device 3410 has dimensions that are particularly suited for sealing, retention and removability in a bronchial wall channel placement. As shown in Figure 40A, the flow control device 3410 has a general outer shape and dimension that permit the flow control device to fit entirely within the channel in the bronchial wall 3610. In this regard, the flow control device 3410 has a length L that is suited for placement within a channel in the bronchial wall. In one embodiment, the length L is substantially the same as the thickness of the bronchial wall 3610. Alternately, the length L can be slightly less or slightly greater than the thickness of the bronchial wall 3610. The thickness of the bronchial wall 3610 can vary based on the patient and on the bronchial passageway's location in the bronchial tree. In general, the bronchial wall thickness is in the range of about 0.1 millimeters to about 5 millimeters. In one embodiment, the bronchial wall thickness is about 1 millimeter.

The valve member 3515 can be made of a biocompatible material, such as a biocompatible polymer including silicone. The seal member 3530 is manufactured of a deformable material, such as silicone or a deformable

15

20

elastomer. The retainer member 3540 is desirably manufactured of an elastic material, such as Nitinol.

Figure 40B shows another embodiment of the flow control device 3410 wherein a portion of the retainer member 3540 is annular and concentrically surrounds an annular portion of the seal member 3530. The valve member 3515 is positioned within the seal member 3530. The flanges 3535 forms successive seals with the bronchial wall 3610, with a front-most flange 3535a engaging the interior surface of the bronchial wall 3610. The retainer member 3540 extends into the parenchyma 3615 and engages the surface of the bronchial wall 3610 that is adjacent the parenchyma 3615.

Figures 41 and 42 show a further embodiment of the flow control device 3410. Figure 41 shows a perspective view of the flow control device 3410 and Figure 42 shows a cross-sectional view of the flow control device 3410 mounted in a channel of a bronchial wall 3610. The flow control device 3410 includes a one-way valve member 3515, such as a duckbill valve. The valve member 3410 is mounted within an anchor and seal member 4210 comprised of a tubular body that can both anchor the flow control device 3410 to the bronchial wall 3610 and form a seal with the bronchial wall 3610.

The anchor and seal member 4210 includes a retainer 4215 that includes a plurality of prongs (shown in Figure 42) that are shaped so as to form a front flange 4115 and a rear flange 4120. The front flange 4115 and the rear flange 4120 engage the inner and outer surfaces of the bronchial wall 3610 to secure the flow control device 3410 in a fixed position. In addition, the flanges 4115, 4120 form a seal with the bronchial wall 3610 so that fluid cannot flow between

10

15

20

25

the flanges and the bronchial wall 3610, but must rather flow through the valve member 3515 in order to flow through the channel in the bronchial wall 3610.

With reference to Figure 41, the prongs of the retainer 4215 are spaced from one another to form a plurality of cells that are filled with a membrane 4108 that is made of a material that can form a seal with the bronchial wall 3610. The membrane 4108 can be made of silicone, polyurethane, or some other material that can form a seal. The membrane 4108 can be formed by dipping the entire retainer 4215 into a bath of the membrane material so that the material dries within the cells and forms the membrane. Figure 43A and 43B show the retainer 4215 in a planar view, wherein the prongs are laser cut from a tube of material and if the tube were unrolled after laser cutting and heat-treated into the shape shown in Figures 43A and 43B. The retainer 4215 can then be formed into the shape shown in Figure 41.

Figure 44 shows a further embodiment of the flow control device 3410, shown mounted in a bronchial wall 3610 of a bronchial passageway. In this embodiment, the valve member 3515 is located entirely within the lumen 4410 of the bronchial passageway. The flow control device 3410 includes an inner flange 4415 that engages the interior surface of the bronchial wall 3610 and an outer flange 4420 that engages the exterior surface of the bronchial wall 3610. The outer flange 4420 can be formed by crimping a deformable material against the bronchial wall, such as by using an expandable balloon or other device located in the parenchyma 3615. Alternatively, the outer flange 4420 may be manufactured from a resilient material such as Nitinol such that the flow control device 3410 could be constrained within a delivery device for insertion through the channel in the bronchial wall 3610, and then released so that the outer

10

15

20

25

flange 4420 springs into contact with the outside of the bronchial wall and assumes the shape shown in Figure 44

A tubular body 4421 has first and second ends on which the inner flange 4415 and outer flange 4420, respectively, are positioned. The tubular body 4421 has a passage or flow channel 4425 therethrough and is configured to extend through the bronchial wall 3610 with the channel 4425 in communication with the lumen 4410 of the bronchial passageway 3610.

As mentioned, the valve member 3515 in Figure 44 is located entirely within the bronchial lumen 4410. This allows the flow channel 4425 of the flow control device 3410 to be maximized for a given diameter of the channel in the bronchial wall 3610 in that the valve member 3515 does not consume any of the volume of the flow channel 4425. Figure 44 shows the valve member 3515 as a duckbill valve. Other types of valves can also be used with the flow control device 3410. For example, Figure 45 shows the flow control device 3410 with the valve member 3515 comprising a flap valve having a hinged flap or leaflet that opens in response to fluid flow in a first direction and closes in response to fluid flow in a second direction.

Figure 46 shows another embodiment of the flow control device 3410 where the valve member 3515 is positioned within the bronchial lumen. The valve member 3515 in the embodiment of Figure 46 is comprised of an umbrella valve that includes an dome-shaped umbrella 4515 having an elongate mounting member 4520 that is coupled to a seal and retainer member 4525. The umbrella 4515 has peripheral edges 4530 that sealingly engage the interior surface of the bronchial wall 4510. The edges 4530 are biased toward the bronchial wall 4510 so that they press against the bronchial wall 4510 in a

10

15

20

25

default state and prevent fluid from flowing through the channel in the bronchial wall 4510. When exposed to a sufficient fluid pressure, the edges 4530 lift away from the bronchial wall 3610 and permit fluid to flow between the bronchial wall and the edges 4530, as exhibited by the arrows 4535 in Figure 46.

Figure 47 shows a perspective view of the seal and retainer member 4525 of the flow control device 3410 shown in Figure 46. The seal and retainer member 4525 has a cylindrical portion 4610 that sealingly engages the bronchial wall 3610 to prevent flow between the cylindrical portion 4610 and the bronchial wall 3610. A retainer portion comprised of prongs 4615 is located at the end of the cylindrical portion 4610. The retainer portion engages the bronchial wall 3610 to secure the flow control device in the channel of the bronchial wall 3610. The cylindrical portion 4610 includes a coupling passage 4612 into which the mounting member 4520 of the umbrella 4515 can be coupled. The cylindrical portion 4610 also defines an interior lumen 4620 through which fluid can flow.

Figure 48 shows another embodiment of the flow control device 3410 mounted in a bronchial wall 3610. This embodiment is similar to the embodiment shown in Figure 46 in that it includes an umbrella valve having an umbrella 4515. The umbrella 4515 is coupled to a retainer and seal member 4525 that is positioned within a channel in the bronchial wall 3610. As shown in Figure 49, the retainer and seal member 4525 includes a cylindrical portion 4610 that defines an internal lumen 4612 for the passage of fluid. One or more fluid entry ports 4910 comprised of holes are disposed on the cylindrical portion to allow fluid to flow into the internal lumen 4612. The retainer and seal

20

25

configuration that can engage the surface of the bronchial wall 3610, as shown

member 4525 includes a plurality of prongs 4615 that have a flattened

Figure 50 shows yet another embodiment of the flow control device 3410

mounted in a bronchial wall 3610. This embodiment combines a dome-shaped diaphragm 5010 with a valve member 3515 comprised of a duckbill valve. The diaphragm 5010 includes a centrally-located, internal tunnel 5015, in which is mounted the valve member 3515. The diaphragm 5010 is coupled to a retainer member 5020 that has a tubular portion positioned in the channel of the bronchial wall 3610 and a flange 5025 that engages the bronchial wall 3610.

The diaphragm 5010 and the flange 5025 hold the bronchial wall 3610 therebetween to secure the device within the channel in the bronchial wall 3610.

therebetween to secure the device within the channel in the bronchial wall 3610. The flange 5025 can be formed by crimping a deformable material against the bronchial wall, such as by using an expandable balloon or other device located in the parenchyma 3615 in a manner similar to that shown in Figures 44 and 45. Alternatively, the flange 5025 may be manufactured from a resilient material such as Nitinol such that the flow control device 3410 could be constrained within a delivery device for insertion through the channel in the bronchial wall 3610, and then released so that the flange 5025 springs into contact with the outside of the bronchial wall and assumes the shape shown in Figure 50.

The valve member 3515 regulates fluid flow through an internal lumen 5030 that is collectively formed by the tunnel 5010 of the diaphragm 5010 and the cylindrical portion of the retainer member 5020.

Figure 51 shows a cutaway, perspective view looking into a bronchial passageway 5110 in which the flow control device 3410 of Figure 50 is

10

15

20

25

mounted. The diaphragm 5010 is positioned within a lumen of the bronchial passageway 5110 such that the diaphragm 5010 seals with and is engaged against the inner surface of the bronchial wall. The tunnel 5015 provides a passageway for fluid to flow into the lumen of the bronchial passageway 5110.

Figure 52 shows another embodiment of the flow control device 3410 of Figure 50, wherein the diaphragm 5010 has a rectangular shape. As shown in Figure 53, the rectangular diaphragm 5010 has a mounting member 5310 that can be coupled to the retainer member 5020. Figure 54 shows a cross-sectional view of the diaphragm 5010 and illustrates that the diaphragm has a curved contour which conforms to the curved contour of the bronchial wall in which the flow control device is mounted in order to assist the sealing between the diaphragm 5010 and the bronchial wall.

The channels in the bronchial wall can be created in a variety of different manners. In one embodiment, a cutting catheter with a sharpened tip, such as up to 5 mm in diameter, can be used to puncture the bronchial wall. In another embodiment, a stiff guidewire delivered via the inner lumen of a flexible bronchoscope can be used to puncture the bronchial wall. In another embodiment, a flexible biopsy forceps is placed through the working channel of the bronchoscope and used to cut a hole through the bronchial wall. In yet another embodiment, RF energy is delivered to the bronchial wall at the distal end of a catheter and used to create a hole in the bronchial wall. The RF method would also cauterize the hole in the bronchial wall, thus stopping blood flow and sealing the channel.

Once the channel is formed in the bronchial wall, the flow control device 3410 is delivered into the channel. In one embodiment, a guidewire is first

10

15

20

25

PCT/US03/16999

placed through the channel, and then a delivery catheter containing the flow control device 3410 is advanced over the guidewire, and into the channel. The guidewire can be placed using the working channel of a flexible bronchoscope, can be guided freehand, or can be placed by any other suitable method. In another embodiment, a guiding catheter is inserted into the channel, and the flow control device 3410 is pushed through the catheter and into position in the channel. If the flow control device 3410 can be compressed into the tip of a delivery catheter, the delivery catheter can be advanced through the working channel of a flexible bronchoscope, inserted into the channel and the device 3410 deployed. In yet another embodiment, the flow control device 3410 is grasped with forceps or some other tool and inserted into the channel.

Once the flow control device 3410 is delivered to the location of the channel, a first end of the flow control device is inserted through the channel in the wall so that the interior lumen 3510 is in communication with the bronchial passageway. The flow control device is then secured in the wall so that it allows fluid flow in a first direction through the passage to or from the bronchial passageway. The flow control device is configured to restrict fluid flow in a second direction through the passage to or from the bronchial passageway. The flow control device 3410 provides a seal between the flow control device 3410 and the bronchial wall to restrict fluid flow therebetween. The seal can be provided by a flange on the flow control device that engages an inner or outer surface of the bronchial wall. A stent of the flow control device can be expanded to engage the bronchial wall.

One or more of the flow control devices 3410 can be used in combination with the bronchial isolation devices 2000 described above with reference to

10

15

20

25

Figures 20-22 in order to modify the fluid flow dynamic to a lung region. For example, Figure 55 shows flow control devices 3410 implanted in various bronchial walls in the right upper lobe 130. In addition, bronchial isolation devices 2000 are mounted within various bronchial passageways. The flow control devices 3410 permit flow in a first direction and restrict flow in a second direction through the bronchial wall channels in which the devices 3410 are mounted. For example, the flow control devices 3410 can permit flow from the parenchyma 3320 into the bronchial passageway, but prevent flow in the opposite direction.

A desired fluid flow dynamic to a lung region can be achieved by deploying various combinations of flow control devices 3410 and bronchial isolation devices 2000 in one or more bronchial passageways that communicate with the lung region. A flow control devices 3410 can be mounted in the same bronchial passageway in which a bronchial isolation device 2000 is mounted, or a flow control device 3410 can be mounted in a different bronchial passageway, or a combination thereof can be used. For example, Figure 55 shows a bronchial isolation device 2000a and a flow control device 3410a both mounted in the same segmental bronchus 3315. A flow control device 3410c is mounted in a different segmental bronchus. The positioning of the flow control device 3410 relative to the bronchial isolation device 2000 in the bronchial tree can also vary. A channel in which the flow control device 3410 is deployed can be positioned either proximally or distally of a bronchial isolation device 2000 in a bronchial passageway. For example, the flow control device 3410a is in a channel that is located proximally of the bronchial isolation device 2000b, and the flow control device 3410b is in a channel that is located distally of the

10

bronchial isolation device 2000b. Figure 56 shows flow control devices 3410 implanted in various bronchial walls in the right upper lobe 130 wherein the devices 3410 permit flow in both directions. A combination of two-way flow control devices 3410 and one-way flow control devices 3410 can be used to achieve a desired fluid flow dynamic to and from a lung region.

Although embodiments of various methods and devices are described herein in detail with reference to certain versions, it should be appreciated that other versions, embodiments, methods of use, and combinations thereof are also possible. Therefore the spirit and scope of the appended claims should not be limited to the description of the embodiments contained herein.

CLAIMS

What is claimed:

5

10

15

 A method of deploying a guidewire in a lung of a patient, comprising: removably coupling a distal end of the guidewire to a distal end of a delivery device having an elongated shaft and a lumen extending therethrough;

positioning the distal end of the delivery device through the patient's trachea into a bronchial passageway of the lung so that the distal end of the guidewire is disposed in the bronchial passageway; and

removing the delivery device from the bronchial passageway while the distal end of the guidewire remains in the bronchial passageway.

- 2. A method as defined in claim 1, additionally comprising inserting an elongated grasping tool into the lumen of the delivery device so that a grasping member on a distal end of the grasping tool protrudes outwardly of the distal end of the delivery device, and wherein the step of removably securing comprises grasping the distal end of the guidewire with the grasping member.
- A method as defined in claim 2, wherein the grasping member
 comprises a loop, and wherein grasping the distal end of the guidewire with the grasping member comprises positioning the guidewire through the loop.
 - 4. A method as defined in claim 2, wherein the grasping member comprises forceps that include two or more fingers, and wherein grasping the distal

end of the guidewire with the grasping member comprises positioning the fingers around the guidewire.

- 5. A method as defined in claim 4, wherein the fingers are oriented
 5 transverse to a longitudinal axis of the elongated flexible shaft.
 - 6. A method as defined in claim 2, wherein the grasping member comprises opposed jaws, and wherein grasping the distal end of the guidewire with the grasping member comprises positioning the jaws around the guidewire.

10

- 7. A method as defined in claim 1, wherein at least one clip is located on the distal exterior end of the delivery device, and wherein the step of removably securing comprises coupling the guidewire to the clip.
- 15 8. A method as defined in claim 7, wherein the clip defines a guidewire lumen sized to receive the guidewire, and wherein attaching the guidewire to the clip comprises inserting the distal end of the guidewire into the guidewire lumen.
- 9. A method as defined in claim 8, wherein the distal end of the guidewire 20 fits tightly within the guidewire lumen.
 - 10. A method as defined in claim 8, wherein the guidewire is slidable within the lumen.

- 11. A method as defined in claim 1, wherein two or more clips are located on the distal end of the delivery device, each clip having a guidewire lumen into which the guidewire can be positioned.
- 12. A method as defined in claim 11, wherein the two or more clips support a guidewire tube that can receive the distal end of the guidewire, and wherein attaching the distal end of the guidewire to the clip comprises inserting the distal end of the guidewire into the guidewire lumen.
- 10 13. A method as defined in claim 1, additionally comprising releasing the guidewire from the distal end of the delivery device.
 - 14. A method as defined in claim 1, wherein the delivery device is a bronchoscope.

15. A guidewire grasping tool for coupling a guidewire to a bronchoscope positionable in the lung of a patient, comprising:

an elongate shaft having a proximal end and a distal end;

a grasping member at the distal end of the elongate shaft, wherein the

elongate shaft is sized to be positioned within a lumen of a bronchoscope so that the
grasping member protrudes outwardly from a distal end of the bronchoscope and the
proximal end is located near a proximal end of the bronchoscope, and wherein the
grasping member can grasp a guidewire to couple the guidewire to the
bronchoscope and release the guidewire from the bronchoscope.

- 16. A guidewire grasping tool as defined in claim 15, wherein the grasping member comprises a loop sized to receive the distal end of the guidewire.
- 17. A guidewire grasping tool as defined in claim 16, further comprising a handle at the proximal end of the elongate shaft, wherein the handle is coupled to the loop, and wherein the handle can be manipulated to cause the loop to tighten.
- 18. A guidewire grasping tool as defined in claim 15, wherein the grasping
 member comprises forceps having two or more fingers that can grasp the guidewire,
 and wherein the fingers can be moved toward one another.
 - 19. A guidewire grasping tool as defined in claim 17, wherein the fingers are oriented transverse to the axis of the bronchoscope when the grasping tool is positioned in the bronchoscope.
 - 20. A guidewire grasping tool as defined in claim 17, wherein the fingers are movable toward and away from one another by manipulating the handle.
- 21. A guidewire grasping tool as defined in claim 15, wherein the grasping member comprises a two or more opposed jaws that can close to secure the guidewire between the jaws, and wherein the jaws can be opened and closed.

- 22. A guidewire grasping tool as defined in claim 21, further comprising a handle, wherein the jaws are openable and closeable by manipulating the handle.
 - 23. A system for use in a lung of a patient, comprising:
- a bronchoscope having a proximal end and a distal end, the bronchoscope having an elongated flexible shaft and a least one internal lumen;

a grasping tool coupled to the bronchoscope, wherein the grasping tool can be used to slidably couple the guidewire to the bronchoscope exterior to the flexible shaft.

10

15

24. A system as defined in claim 23, wherein the grasping tool comprises: an elongate shaft having a proximal end and a distal end;

a grasping member at the distal end of the elongate shaft, wherein the elongate shaft is sized to be positioned within a lumen of a bronchoscope so that the grasping member protrudes outwardly from a distal end of the bronchoscope and the proximal end is located near a proximal end of the bronchoscope, and wherein the grasping member can grasp a guidewire to couple the guidewire to the bronchoscope and release the guidewire from the bronchoscope.

20

25. A system as defined in claim 23, wherein the grasping tool comprises at least one clip located on the distal end of the bronchoscope, and wherein the clip defines a guidewire passage sized to receive the distal end of the guidewire.

20

- 26. A system as defined in claim 25, wherein the guidewire is slidable within the guidewire passage.
- 27. A system as defined in claim 25, wherein the distal end of the5 guidewire fits tightly within the guidewire lumen.
 - 28. A system as defined in claim 23, wherein the grasping tool comprises two or more clips are located on the distal end of the bronchoscope, the two or more clips supporting a guidewire tube that can receive the distal end of the guidewire.
 - 29. A system as defined in claim 25, wherein the at least one clip is removable from the bronchoscope.
- 30. A method of removing a flow control device implanted in a bronchial passageway of a patient, comprising:

providing a removal device having an elongate shaft and a distal engaging element;

inserting the removal device through the bronchial isolation device such that the distal engaging element is positioned within or distally of the bronchial isolation device in the bronchial passageway;

transitioning the engaging element of the removal device to have a radial size that is larger than the radial size of at least a portion of the bronchial isolation device; and

20

engaging the bronchial isolation device with the distal engaging element to urge the bronchial isolation device in the proximal direction, thereby removing the bronchial isolation device out of the bronchial passageway.

- 31. A method as defined in claim 30, wherein the engaging element of the removal device comprises a set of jaws that can be opened to enlarge the distal region of the removal device.
- 32. A method as defined in claim 30, wherein the enlargeable distal region of the removal device comprises a balloon that can be inflated to enlarge the distal region of the removal device.
 - 33. A removable flow control device for implanting in a bronchial passageway, comprising:

a valve member that regulates fluid flow through the flow control device;

a seal member that at least partially surrounds the valve member, wherein the seal member seals with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway;

a retainer member secured to the seal member, wherein the retainer member exerts a radial force against the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway and retains the flow control device in a fixed location in the bronchial passageway; and

a removal handle attached to the retainer member, the handle being configured to collapse the retainer member upon application of a force to the handle member.

- 5 34. A device as defined in claim 33, wherein the retainer member comprises a frame having at least one eyelet and wherein the removal handle is threaded through the eyelet.
- 35. A device as defined in claim 34, wherein a plurality of eyelets are positioned around a periphery of the retainer member, the handle being threaded through the plurality of eyelets.
 - 36. A device as defined in claim 33, wherein the removal handle can be tensioned to apply a constriction force to the retainer member for radially collapsing the retainer member.
 - 37. A device as defined in claim 33, wherein the removal handle comprises a flexible suture.
- 38. A device as defined in claim 33, wherein the removal handle is attached to at least two locations on the retainer member so that the removal handle can apply a distributed force to the retainer member.

- 39. A device as defined in claim 33, wherein the at least two locations are located on a periphery of the retainer member.
- 40. A device as defined in claim 33, wherein the removal handle is a shape 5 memory material.
 - 41. A device as defined in claim 40, wherein the removal handle has a first shape at room temperature and a second shape at body temperature.
- 10 42. A device as defined in claim 40, wherein the removal handle has a first shape at body temperature and a second shape at a second temperature other than body temperature.
- 43. A device as defined in claim 42, wherein the second temperature is cooler than body temperature.
 - 44. A device as defined in claim 42, wherein the second temperature is warmer than body temperature.
- 45. A flow control device for placement in a bronchial wall of a bronchial passageway in a patient's lung, the bronchial wall having inner and outer surfaces, the flow control element comprising:

a tubular body having first and second ends and a passage therethrough, the tubular body being configured to extend through the bronchial wall with the passage in communication with the bronchial passageway;

a first flange on the first end configured to engage an inner surface of the bronchial wall;

a retainer coupled to the tubular body for retaining the tubular body in the bronchial wall; and

a valve in fluid communication with the passage, the valve configured to allow fluid flow through the passage in a first direction and restrict fluid flow through the passage in a second direction.

46. The flow control device of claim 45, wherein the retainer comprises a second flange on the second end of the tubular body configured to engage an outer surface of the bronchial wall.

15

10

5

- 47. The flow control device of claim 45, wherein the retainer comprises an expandable stent coupled to the tubular body.
- 48. The flow control device of claim 47, wherein the expandable stent is resiliently self-expanding.
 - 49. The flow control device of claim 45, further comprising a seal for limiting fluid flow through the bronchial wall exterior to the passage.

20

- 50. The flow control device of claim 49, wherein the seal is disposed on the first flange or the second flange.
- 51. The flow control device of claim 49, wherein the seal is disposed on an exterior surface of the tubular body.
 - 52. The flow control device of claim 51, wherein the seal is integrally formed with the tubular body.
- 10 53. The flow control device of claim 51, wherein the seal is urged into engagement with the bronchial wall by the tubular body.
 - 54. The flow control device of claim 49, wherein the seal is urged into engagement with the bronchial wall by the retainer.

55. The flow control device of claim 45, wherein the valve comprises at least one leaflet movable to an open position in response to fluid flow in the first direction and movable to a closed position in response to fluid flow in the second direction.

56. The flow control device of claim 55, wherein the valve is a duckbill valve.

57. The flow control device of claim 55, wherein the valve is a flap valve.

15

20

- 58. The flow control device of claim 45, wherein the valve is integrally formed with the tubular body.
- 5 59. The flow control device of claim 45, wherein the proximal flange is integrally formed with the tubular body.
 - 60. A method of modifying fluid flow through a channel in communication with a bronchial passageway in a patient's lung, the bronchial passageway having a wall through which the channel extends, the wall having inner and outer surfaces, the method comprising:

positioning a flow control device in the bronchial passageway, the flow control device having first and second ends and a passage therebetween;

inserting the first end of the flow control device through the channel in the wall so that the passage is in communication with the bronchial passageway;

securing the flow control device in the wall;

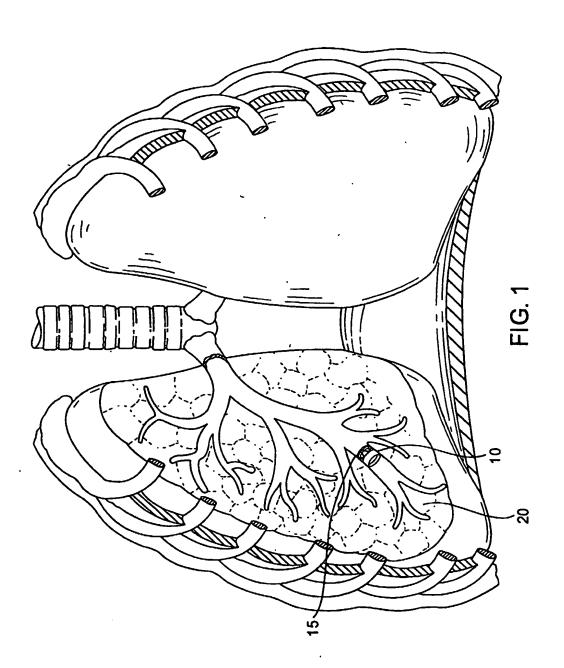
allowing fluid flow in a first direction through the passage to or from the bronchial passageway; and

restricting fluid flow in a second direction through the passage to or from the bronchial passageway.

61. The method of claim 60, further comprising providing a seal between the flow control device and the wall to restrict fluid flow therebetween.

- 62. The method of claim 61, wherein the seal is provided by a flange on the flow control device that engages the inner surface of the wall.
- 63. The method of claim 61, wherein securing the flow control device 5 comprises expanding a stent on the flow control device into engagement with the wall.
- 64. The method of claim 63, wherein restricting fluid flow in a second direction through the passage comprises moving a portion of a valve on the flow 10 control device to at least partially occlude the passage.
 - The method of claim 60, wherein the first direction is toward the 65. bronchial passageway from the passage in the flow control device.
- 15 66. A method of treating a target region of a patient's lung comprising: deploying at least one bronchial isolation device in a bronchial passageway of the target region, wherein the bronchial isolation device allows flow in an expiration direction and restricts flow in an inspiration direction through the bronchial passageway; and
- 20 forming at least one channel that extends through a wall of a bronchial passageway of the target region, wherein the channel provides a fluid passageway between a location internal to the bronchial passageway and a location external to the bronchial passageway.

- 67. A method as defined in claim 66, wherein the location external to the bronchial passageway comprises the lung parenchyma.
- 68. A method as defined in claim 66, further comprising deploying a flow control element in the channel, wherein the flow control element allows fluid flow in a first direction through the channel and restricts fluid flow in a second direction through the channel.
- 69. A method as defined in claim 66, wherein the channel is distal to the location of the bronchial isolation device.
 - 70. A method as defined in claim 66, wherein the channel is proximal to the location of the bronchial isolation device.
- 15 71. A method as defined in claim 66, wherein the channel extends through a wall of the same bronchial passageway in which the bronchial isolation device is deployed.



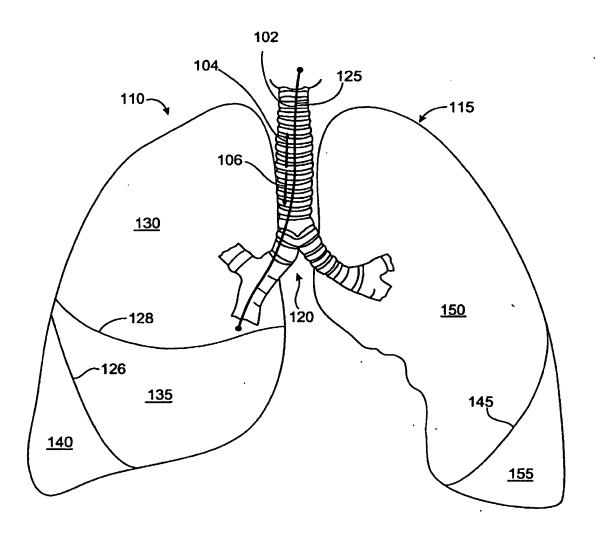


FIG. 2

3/37

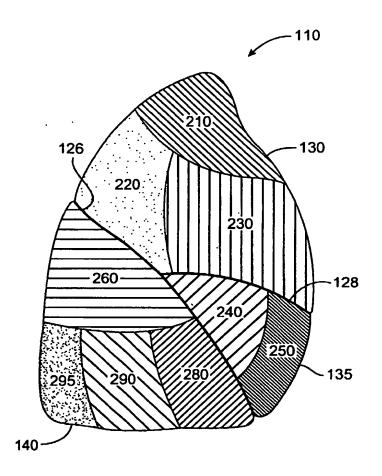


FIG. 3

4/37

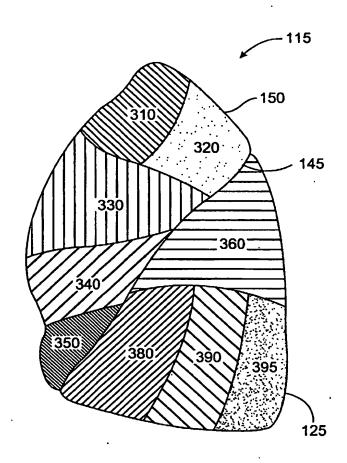


FIG. 4

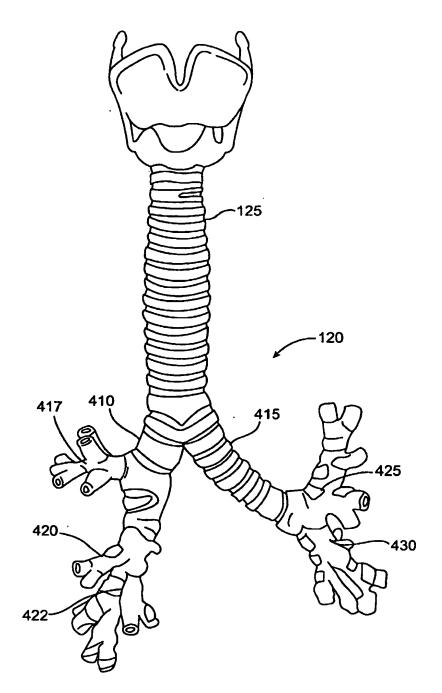


FIG. 5

6/37

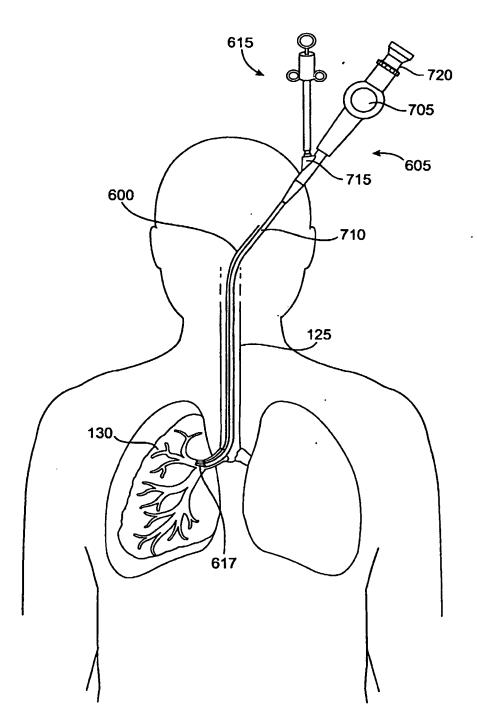
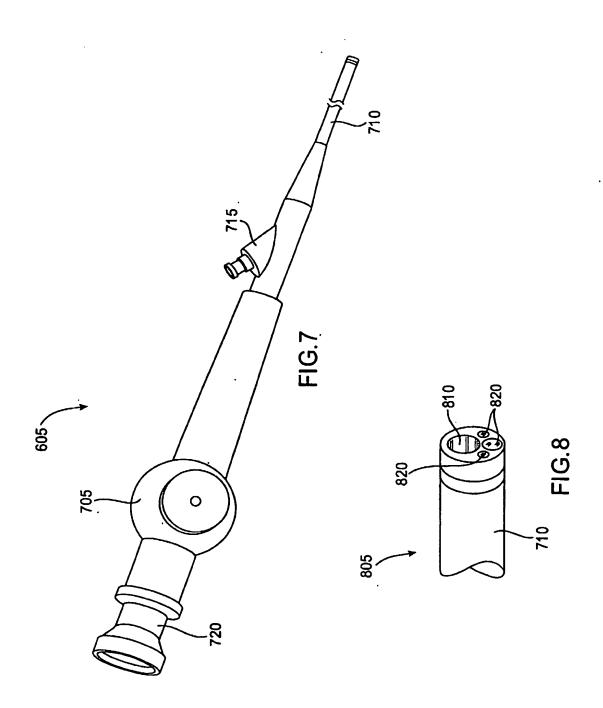
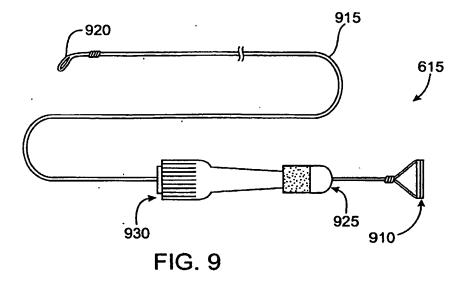


FIG. 6





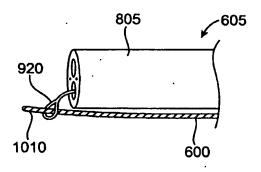


FIG. 10A

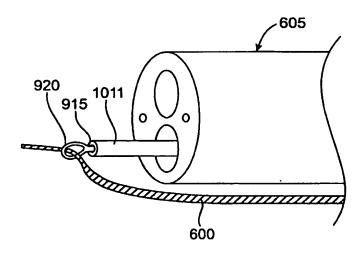
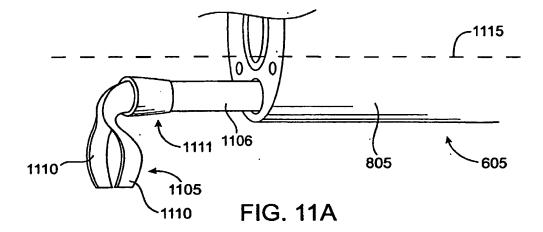


FIG. 10B



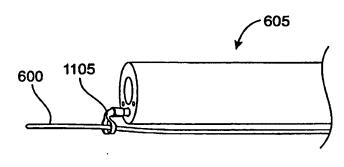
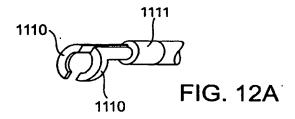
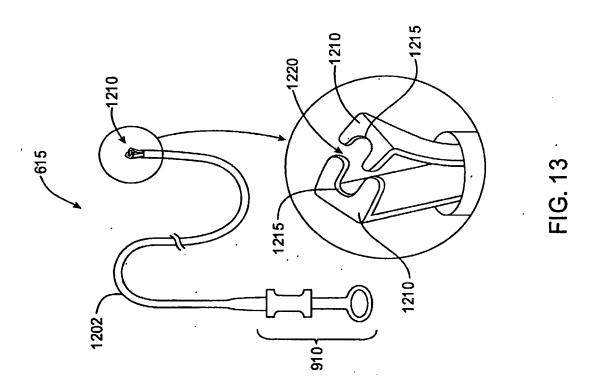
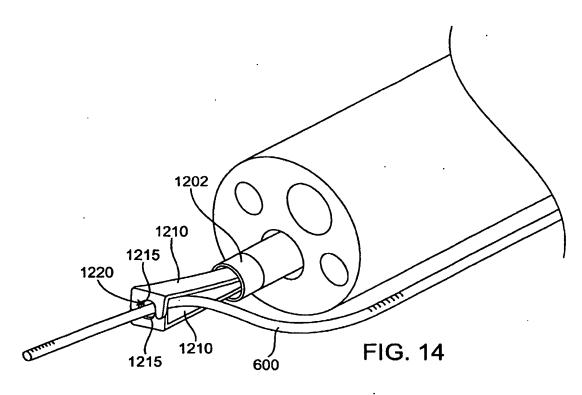


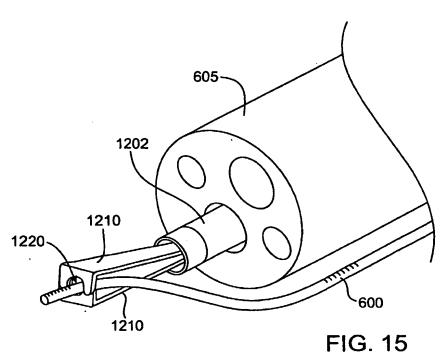
FIG. 11B

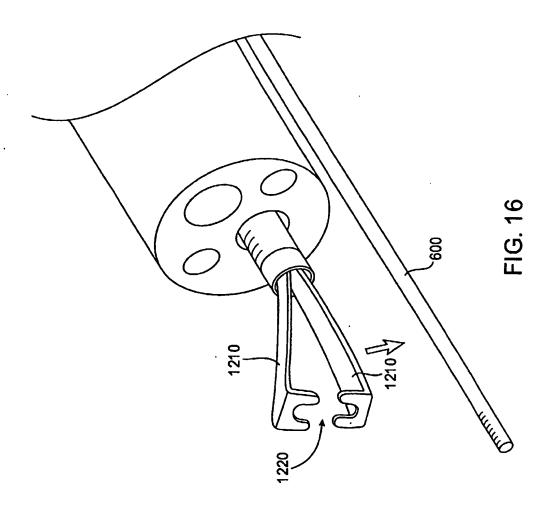


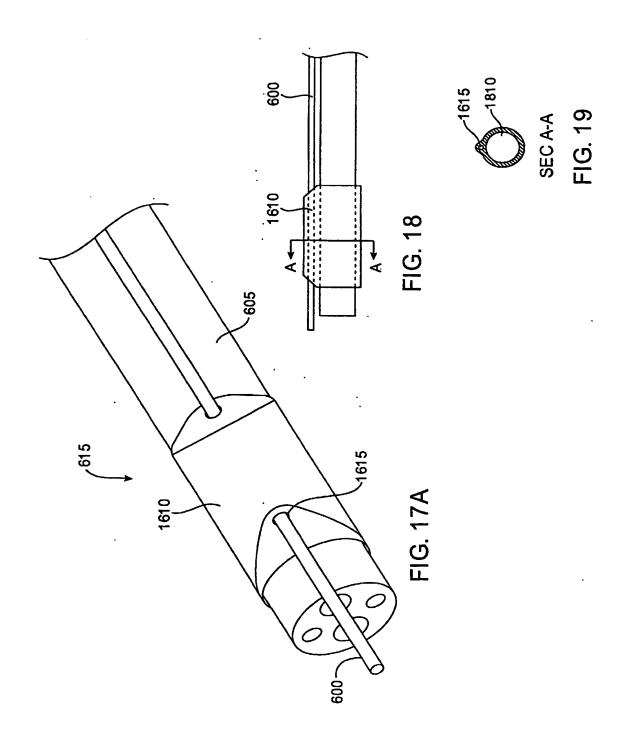


13 / 37

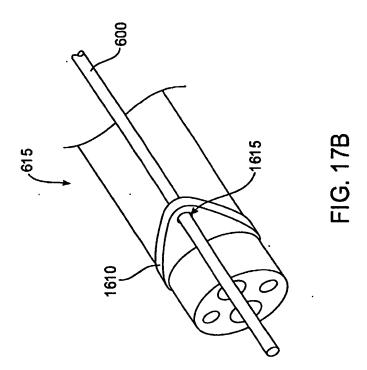


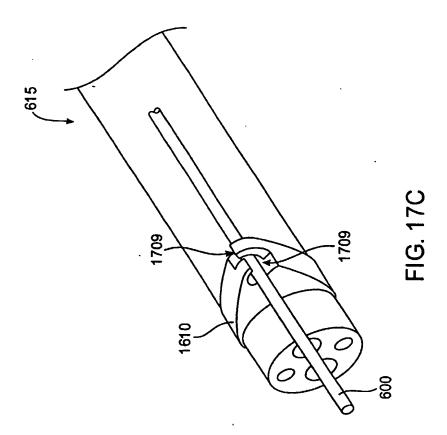


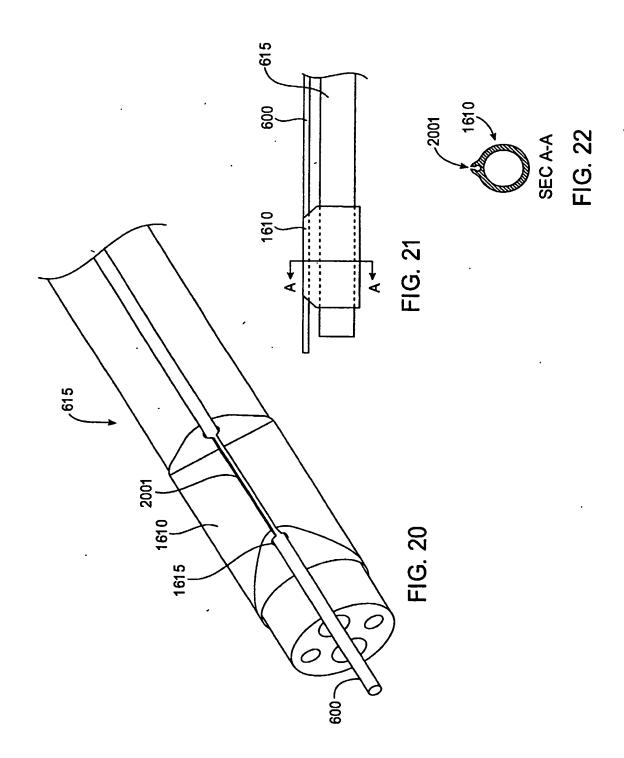


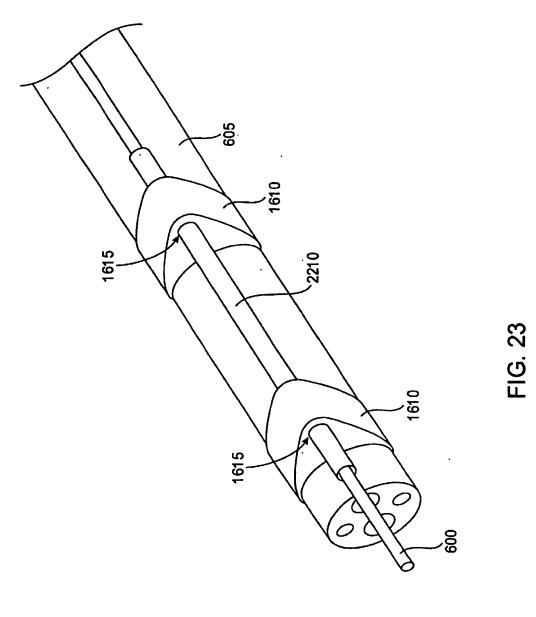


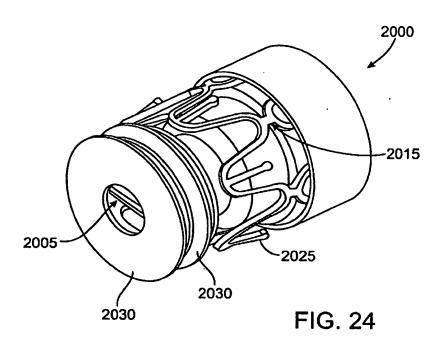
SUBSTITUTE SHEET (RULE 26)











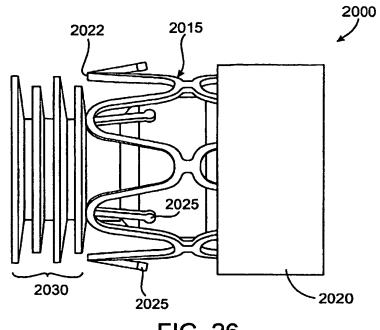


FIG. 26

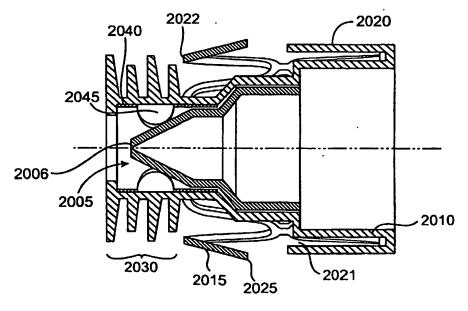
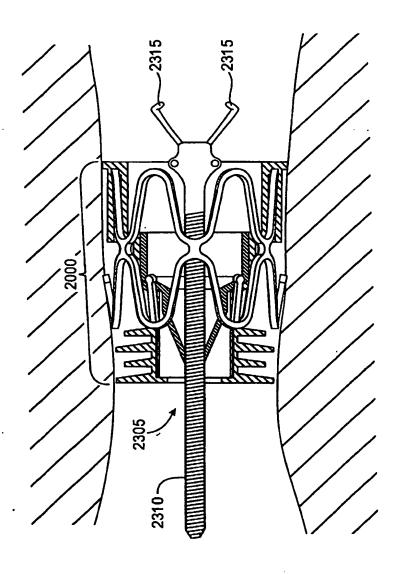
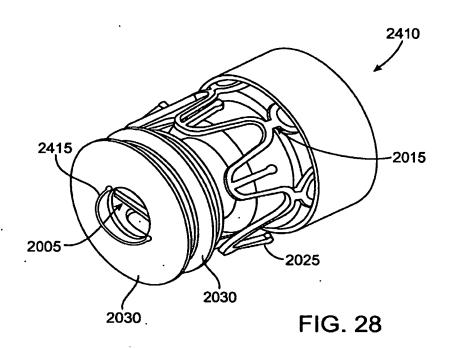
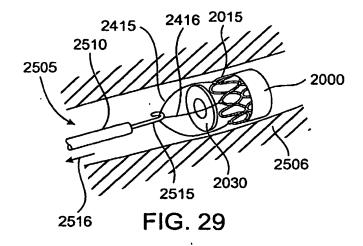


FIG. 25



HG. 27





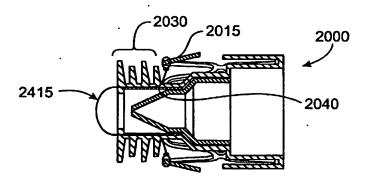


FIG. 30

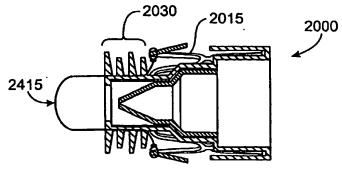
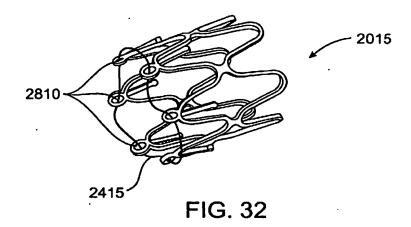


FIG. 31



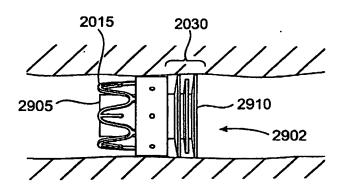
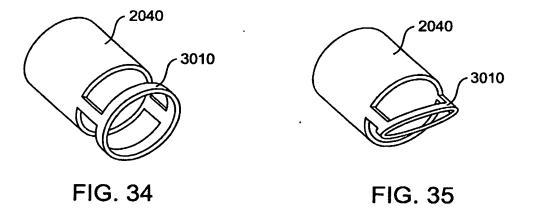
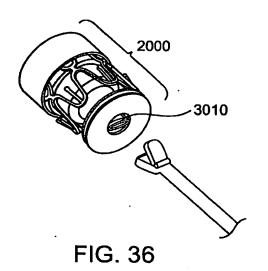


FIG. 33





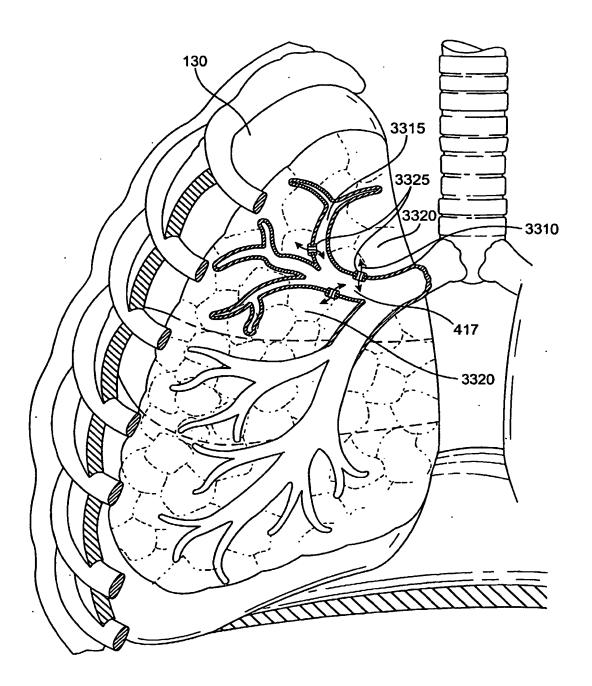


FIG. 37

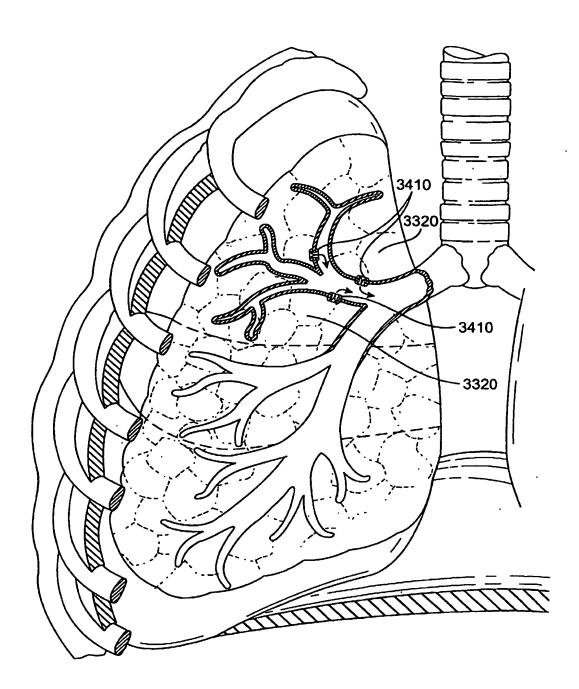


FIG. 38

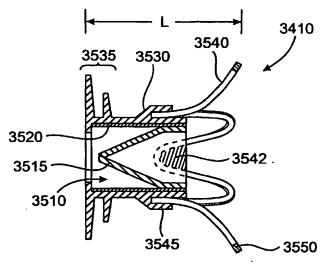
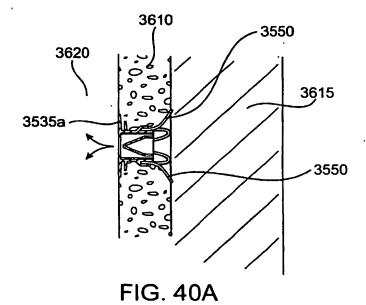


FIG. 39



SUBSTITUTE SHEET (RULE 26)

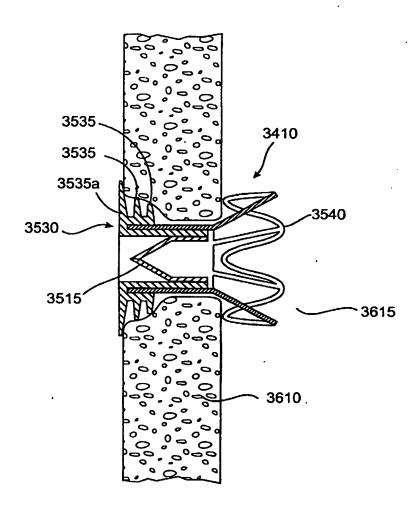


FIG. 40B

31/37

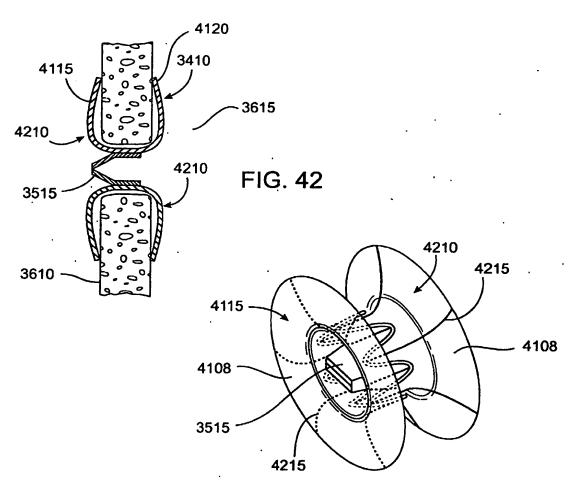
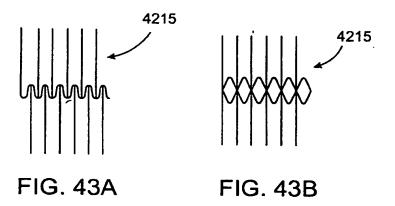


FIG. 41



32 / 37

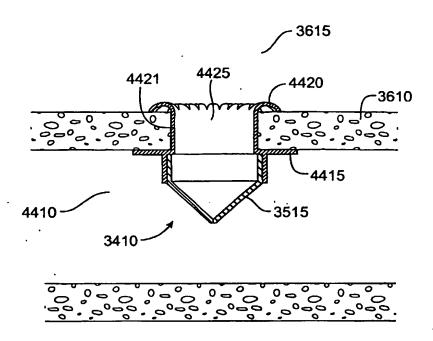


FIG. 44

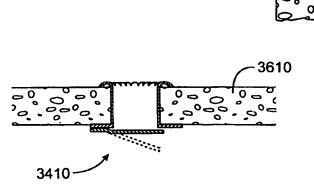
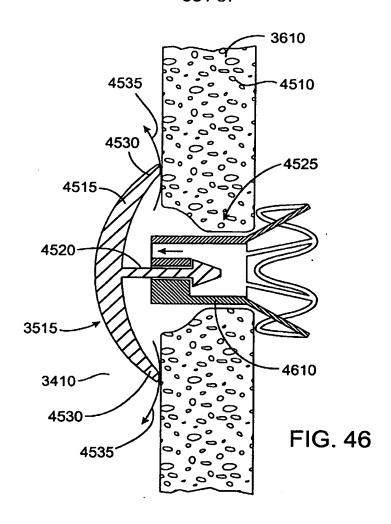
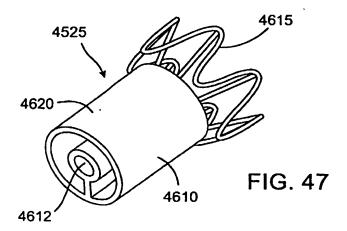


FIG. 45

33 / 37





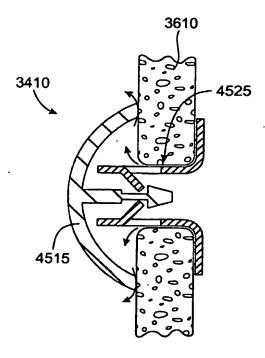


FIG. 48

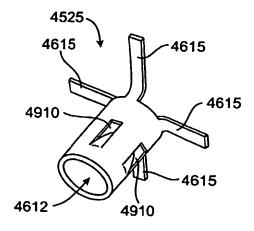
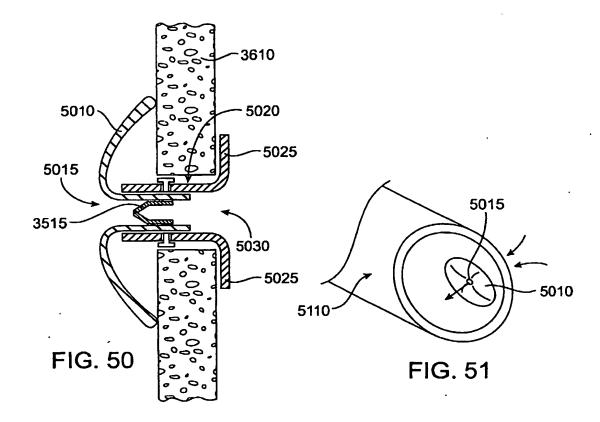
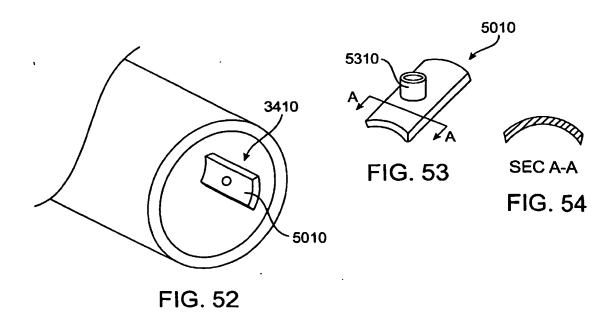


FIG. 49

35 / 37





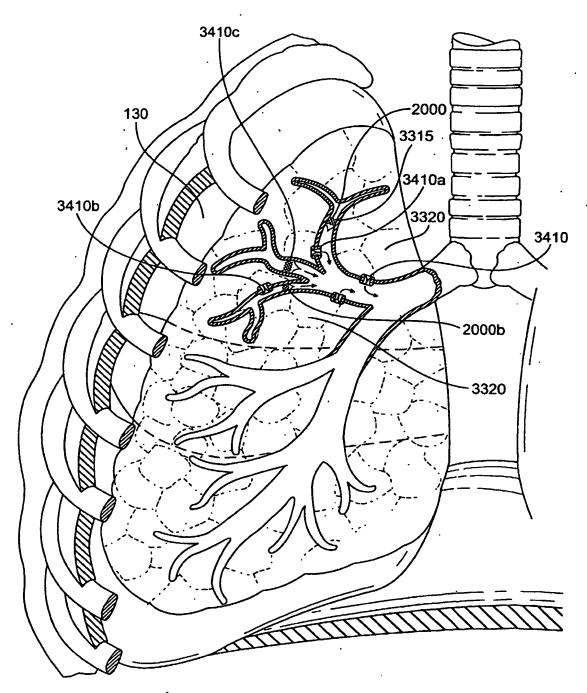


FIG. 55

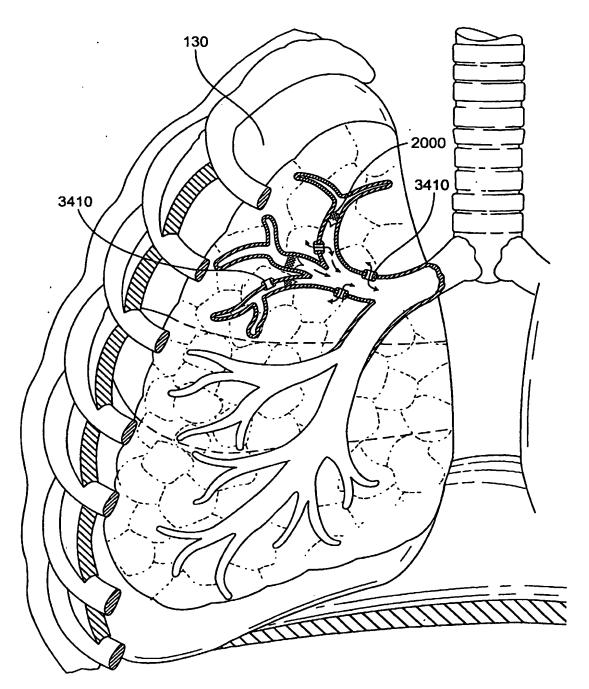


FIG. 56

INTERNATIONAL SEARCH REPORT

International Application No PCT/US 03/16999

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61F2/04 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) A61F A61B IPC 7 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. Category ° WO 01 66190 A (EMPHASYS MEDICAL INC) 45-59 Х 13 September 2001 (2001-09-13) page 6, line 14 - page 21, line 9 33-44 Α figures 12-20 WO 00 15149 A (HALL TODD A ; PERCARDIA INC 45-59 X (US); FURNISH GREG R (US); PHELPS DAVID) 23 March 2000 (2000-03-23) page 3, line 30 - page 11, line 2 33 - 44US 2002/026233 A1 (SHAKNOVICH ALEXANDER) 45 Х 28 February 2002 (2002-02-28) paragraph [0021] - paragraph [0067] 33-44. Α -46-59 -/--Further documents are listed in the continuation of box C. X J Patent family members are listed in annex. X Special categories of cited documents: "T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "O" document referring to an oral disclosure, use, exhibition or "P" document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search 09. 10. 2003 3 September 2003 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Hijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Mary, C.



Intel Inc. ... Pication No
PCT/US 03/16999

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4 846 836 A (REICH JONATHAN D) 11 July 1989 (1989-07-11) column 6, line 27 - column 8, line 32	33-59
A	WO 01 95786 A (DOSHI RAJIV) 20 December 2001 (2001-12-20) paragraph [0033] - paragraph [0057]	33-59
A,P	US 2002/111620 A1 (DAVENPORT JAMES M ET AL) 15 August 2002 (2002-08-15) paragraph [0056] - paragraph [0159]	33-59
	•	

INTERNATIONAL SEARCH REPORT

International application No. PCT/US 03/16999

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X Claims Nos.: 1-14, 30-32, 60-71 because they relate to subject matter not required to be searched by this Authority, namely:
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report Is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 33-59
Remark on Protest The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.1

Claims Nos.: 1-14, 30-32, 60-71

Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery

The applicant's attention is drawn to the fact that claims relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure. If the application proceeds into the regional phase before the EPO, the applicant is reminded that a search may be carried out during examination before the EPO (see EPO Guideline C-VI, 8.5), should the problems which led to the Article 17(2) declaration be overcome.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 33-59

A flow control device.

1.1. claims: 33-44

A removable flow control device with a valve, a seal member, a retainer member and a removable handle attached to the retainer member.

1.2. claims: 45-59

2. claims: 15-29

A guidewire grasping tool for coupling a guidewire to a bronchoscope.

Information on patent family members

Interior Application No
PCT/US 03/16999

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 0166190	A	13-09-2001	CA WO US US US US	4341601 A 2401331 A1 0166190 A2 2003075169 A1 2003070683 A1 2003075170 A1 2001037808 A1	17-09-2001 13-09-2001 13-09-2001 24-04-2003 17-04-2003 24-04-2003 08-11-2001
WO 0015149	A	23-03-2000	US AU EP JP WO	2002165606 A1 6385999 A 1112044 A1 2002524198 T 0015149 A1	07-11-2002 03-04-2000 04-07-2001 06-08-2002 23-03-2000
US 2002026233	A1	28-02-2002	AU EP WO	8718701 A 1313410 A2 0217819 A2	13-03-2002 28-05-2003 07-03-2002
US 4846836	Α	11-07-1989	NONE		
WO 0195786	A	20-12-2001	AU WO US	6709701 A 0195786 A2 2001052344 A1	24-12-2001 20-12-2001 20-12-2001
US 2002111620	A1	15-08-2002	WO WO WO WO WS US US US	02064190 A2 03020338 A2 2003070676 A1 02064045 A1 02069823 A2 2002138074 A1 2002128647 A1 2003130657 A1 2002111619 A1 2002087153 A1	22-08-2002 13-03-2003 17-04-2003 22-08-2002 12-09-2002 26-09-2002 12-09-2002 10-07-2003 15-08-2002 04-07-2002